

# Bayesian parametric mixture survival models in immuno-oncology applications

Leveraging control arm observations to model heterogeneous response in the experimental arm

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## Background

- > Heterogeneous response to an intervention is a common feature of survival patterns in studies of immuno-oncology (IO) therapies
- > Parametric mixture models (PMMs), which represent the cohort as a combination of two latent subpopulations with distinct survival curves, provide a natural framework to analyze heterogeneous response
- > However, it is challenging to infer the proportion of responders, and hence the responder survival pattern, since this fraction is typically small and cohort sizes are limited
  - > consequently, frequentist PMMs are often subject to high uncertainty, artefacts, and high sensitivity[1]
- > We propose a Bayesian PMM (B-PMM) framework that is designed to make inferences in PMMs more tractable. Here, instead of using a “cure” assumption[1], which may be unrealistic for advanced cancers, we invoke the following core assumption:
  - > non-responder patients in the IO arm exhibit a survival pattern similar to that of control arm patients

## Methods

- > We consider mixtures of exponential, Weibull, gamma, log-normal, and log-logistic distributions, which are among those commonly considered in health technology assessments
- > To impose the key assumption, informative priors are chosen for parameters of the non-responder survival functions. This is achieved by fitting standard parametric models to the control arm data. The maximum-likelihood estimates (MLEs) and standard errors define the corresponding B-PMM hyperparameters
- > Weakly informative priors[2] are selected for the parameters of the responder survival functions. We use diffuse log-normal priors with hyperparameters chosen to yield appreciable probability density within the clinically plausible range: i.e., roughly bounded by an approximate general population mortality and overall population survival in the IO arm [Fig 1]
- > A vague (uniform) prior is specified for the proportion of responders
- > B-PMMs were fitted to digitized survival data for pembrolizumab [PEMBRO] (versus docetaxel [CHEMO]) in a subgroup of patients with advanced non-small cell lung cancer from the 5-year database lock of the KEYNOTE-010 study[3]

## REFERENCES

- [1] Chen T.-T. *BMC Med Res Methodol* 2016; 16(1): 12
- [2] Gelman A. *Entropy* 2017; 19(10): 555.
- [3] Herbst RS et al. *J Thorac Oncol.* 2021; 16(10): 1718-1732.

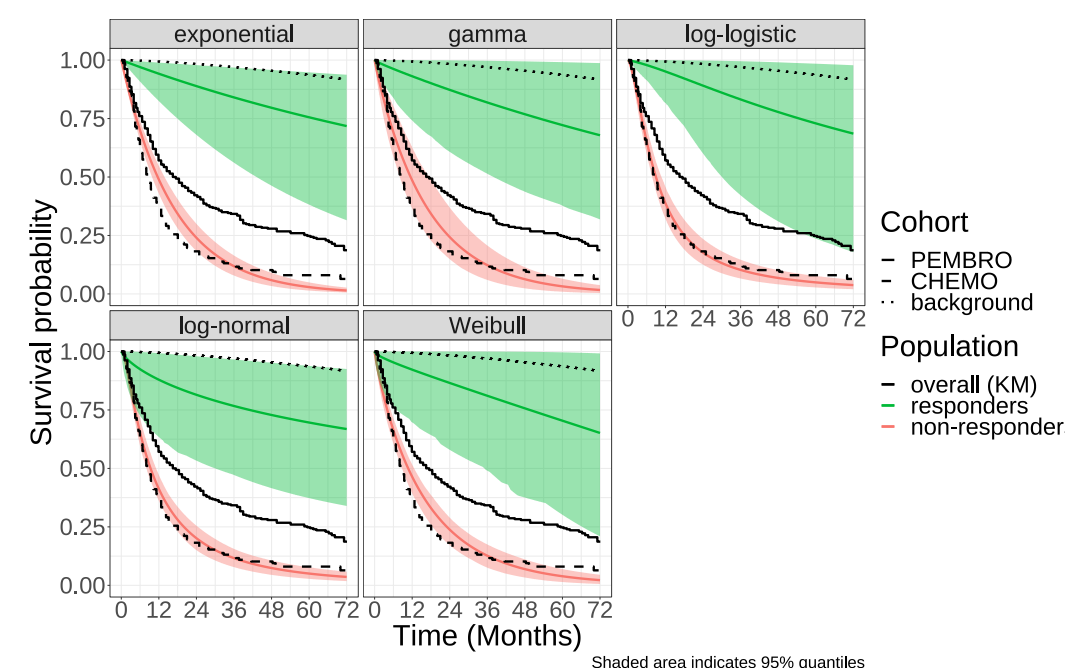


Figure 1: Kaplan-Meier [KM] survival functions for the KEYNOTE-010 trial and prior distributions for the B-PMM latency models

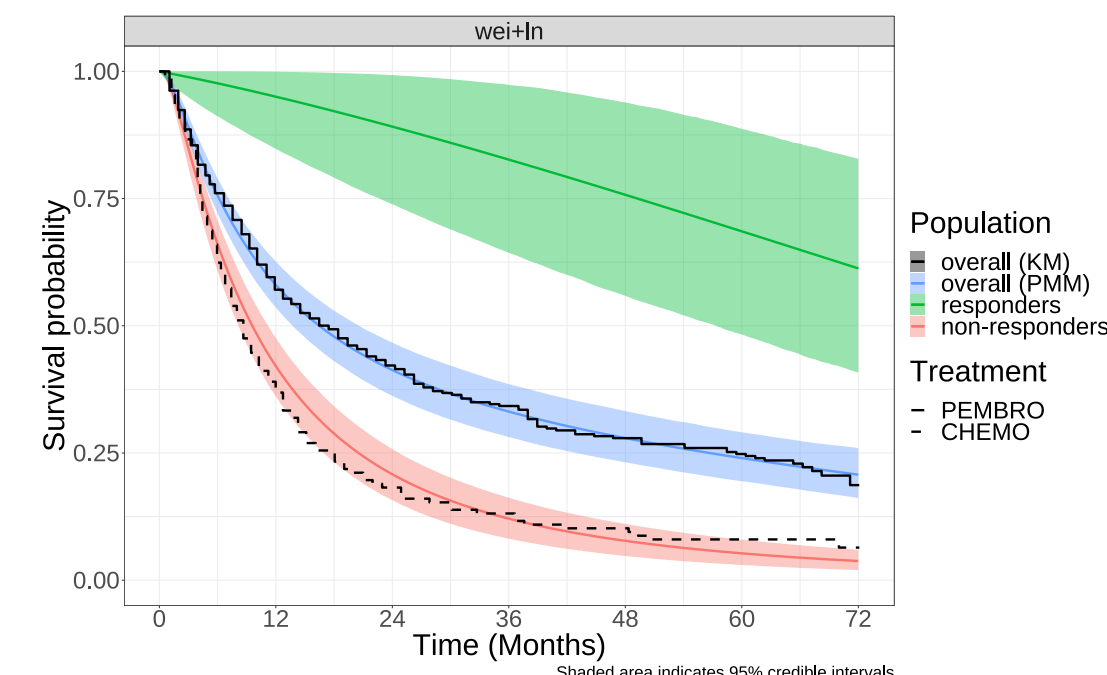


Figure 2: Predicted (B-PMM) and observed (Kaplan-Meier [KM]) survival probabilities for the KEYNOTE-010 trial

## Results

- > The range of posterior mean estimates for the proportion of responders across all 25 candidate models was 28.3-37.2%
  - > this range is greatly reduced compared to the large inconsistency in MLEs from frequentist PMMs (range: 17.2-56.7%)
- > The best-fitting B-PMM (based on Weibull and log-normal distributions for the responder and non-responder subpopulations, respectively) yields a plausible estimate for the proportion of responders (30.3% [95% credible interval: 19.0-44.0%]) [Figs 2 & 3]
  - > in contrast, the best-fitting frequentist PMM yields very high uncertainty in the responder fraction estimate (37.6% [95% confidence interval: 14.5-68.2%])

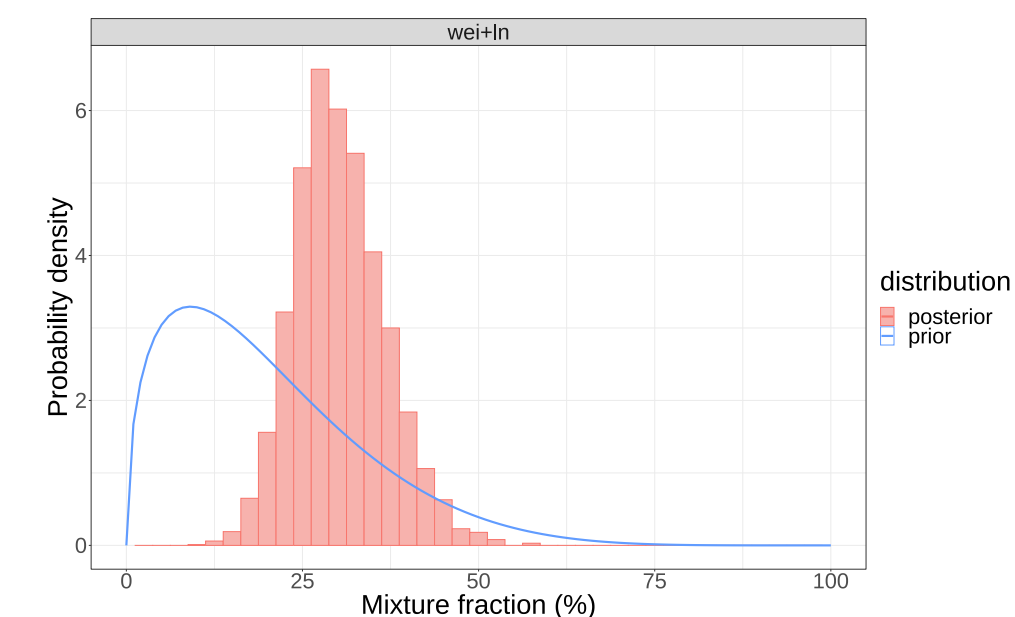


Figure 3: Prior and posterior probability distributions for the proportion of responders in the best-fitting B-PMM

## Conclusions

- > The proposed B-PMM approach overcomes the problem of limited observations in RCT data to reliably infer the proportion of responders from flexible survival models