

DOES GEOGRAPHY AFFECT HEALTH?

ISPOR US 2023 Social Determinants of Health Workshop

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Meet the Panel



Many countries are working towards greater health equity, yet they often face an absence of data to guide policy



"Longer, healthy lives more likely in countries with strong health inclusivity"

-Health Inclusivity Index by The Economist (2022)

"Health does not begin in a hospital or clinic. It begins in our homes and communities, with the food we eat and the water we drink, the air we breathe, in our schools and our workplaces"

-Dr Tedros Adhanom Ghebreyesus, WHO Director General

Individual

Community

National

Inclusive health cannot be created by focusing on healthcare alone. What is known about the **social determinants of health** such as a patient's education, income level and environment matters in patient care



Panel



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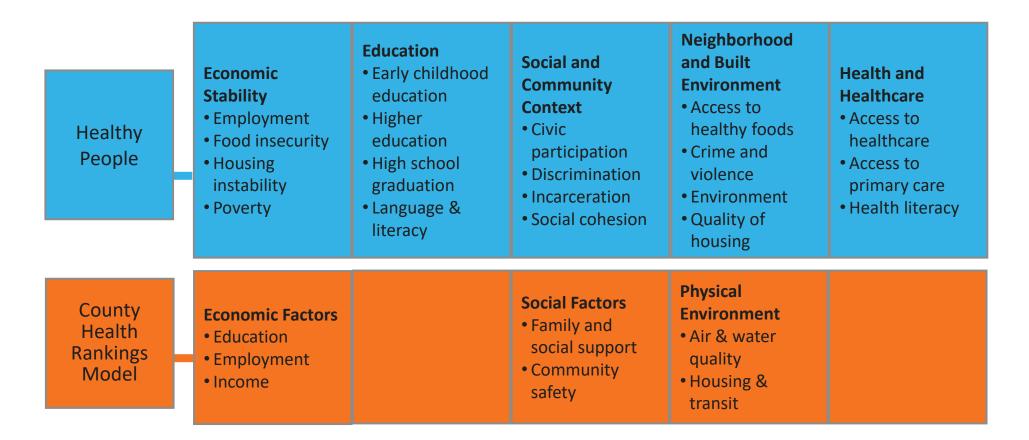
Overview of Social Determinants of Health (SDoH)

Social determinants of health (SDoH) are the non-medical factors that influence health outcomes

World Health Organization	Socioeconomic and Political Context • Governance • Macroeconomic policies • Social policies • Public policies • Culture & societal values	Socioeconomic Position • Social class • Gender • Ethnicity (racism) • Education • Occupation • Income	Social Cohesion and Social Capital	Material Circumstances • Housing and neighborhood quality • Consumption policy • Physical work environment	Healthcare • Access	
Kaiser Family Foundation	Economic Stability • Employment • Income • Expenses • Debt • Medical bills • Support	Education • Literacy • Language • Early childhood education • Vocational training • Higher education	Community and Social Context • Social integration • Support systems • Community • Discrimination • Stress	Neighborhood and Physical Environment • Housing • Transportation • Safety • Parks • Walkability • Geography	Health and Systems • Health coverage • Provider availability • Provider linguistic & cultural competency • Quality of care	Food Environment • Hunger • Access to healthy options



Definitions of SDoH differ between organizations, but the main concepts remain similar





Healthy people 2030 aims to create social, physical, and economic environments that promote attaining the full potential for health and well-being for all

Education Access and Quality

- Early Childhood Development and Education
- **Enrollment in Higher Education**
- **High School Graduation**
- Language and Literacy

Health and Healthcare

- Access to Health Services
- Access to Primary Care
- Health Literacy

1

Neighborhood and Built Environment

- Access to Healthy Foods
- Crime and Violence
- Environmental Conditions
- Quality of Housing

CDC's six pillar framework to address SDoH:

Equity

itions

Community

Engagement

Data &

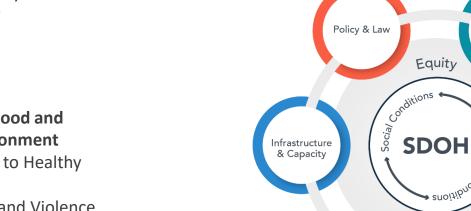
Surveillance

Partnerships Collaboration Evaluation

& Evidence

Building

2





- Employment
- Food Insecurity
- Housing Instability
- Poverty

Social and Community Context

- **Civic Participation**
- Discrimination
- Incarceration
- Social Cohesion

Our aim is to highlight how disparities in SDoH can be addressed through leveraging data to generate evidence supporting health equity research

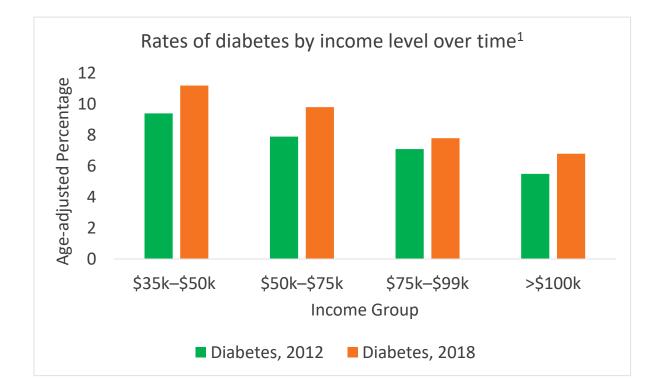
However, chronic conditions show disparity across different income levels





https://frac.org/blog/whats-state-childhood-obesity

To address disparities in diabetes, the American Diabetes Association has proposed a Health Equity Bill of Rights



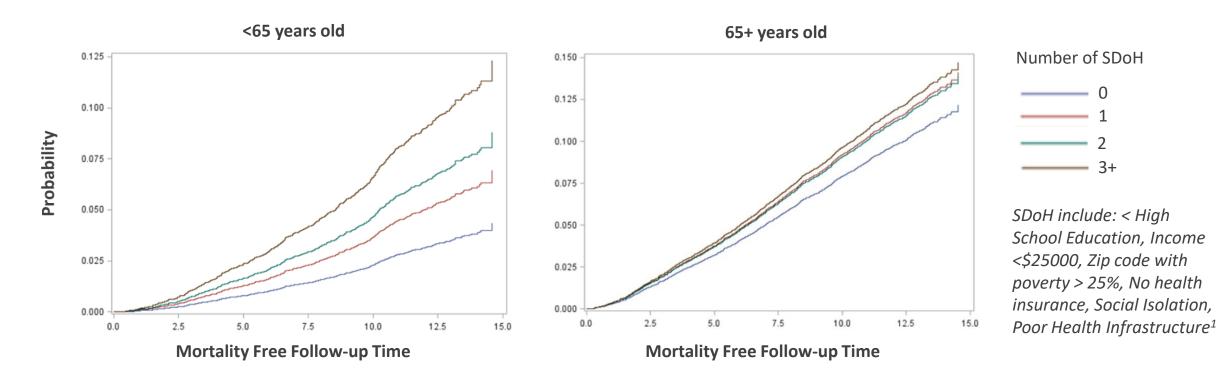
The American Diabetes Association published a **Health Equity Bill of Rights**² in 2020 which focuses on creating a future without unjust health disparities.

Some of these rights include:

- The right to access insulin & other drugs affordably
- The right to insurance that covers diabetes management and future cures
- The right not to face stigma or discrimination
- The right to the latest medical advances



The American Cancer Society also seeks to reduce disparities in cancer care through health equity initiatives



- The American Cancer Society (ACS) has been undertaking various initiatives to promote health equity and reduce disparities in cancer care
- Some of the initiatives include the CHANGE² program and partnerships with organizations like NAACP³ and NHMA⁴
- These initiatives facilitate greater outreach and enable effective interventions for underserved populations

1. https://doi.org/10.1002/cncr.33894; 2. CHANGE: Community Health Advocates Implementing Nationwide Grants for Empowerment and Equity; 3. NAACP: National Association for the Advancement of Colored People; 4. NHMA: National Hispanic Medical Association

To promote health equity, the FDA has issued guidance to sponsors for trial recruitment of underrepresented racial/ethnic groups



FDA guidance outlines how to collect and present **race and ethnicity** data and recommends that sponsors develop a plan to address the inclusion of clinically relevant populations. This guidance focuses on racial and ethnic demographic characteristics of study populations to identify issues like health disparities and differential access to healthcare¹



National Institute on Minority Health and Health Disparities

<u>The PhenX Social Determinants of</u> <u>Health Assessment Collection</u> - toolkit for data protocols to help measure individual / structural factors that shape behaviors & health outcomes²





- Access to health services
- Discrimination in healthcare
- Family history of incarceration
- Housing instability
- Internet access
- Minimum wage
- Neighborhood biking and walking environment
- Water access and sanitation
- Race/ethnic residential segregation
- Residential concentrations of income



Payers' increasing focus on SDoH has prompted the pharmaceutical industry to incorporate health equity in their research and business objectives

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Payers are focusing on differences in **clinical and economic** outcomes between patients with different insurance coverage types (Medicare Advantage vs. fee-for-service, dual eligible, etc.).¹

-<u></u>,

In response to payer recommendations, the healthcare industry has begun to recognize that focusing on medical care alone is not an effective way to manage population health. Pairing medical care with SDoH, could significantly **improve care**, **lower costs**, **and improve quality of life** for millions.²



However, there are several barriers related to improving delivery of care through SDoH



Cross-agency collaboration

Between **45%** and **57%** of SDoH stem from outside of the healthcare system and 80 percent of what influences health comes from beyond one's physician visits.¹ Only **one-third** of stakeholders reported partnering with community–based support groups to address social needs.²



To properly **care** for a patient, treatments must positively impact their knowledge, attitudes, and motivations, as well as their physical health in a cost-effective manner. Without full understanding of a patient's social determinants of health, treatment is limited.³





There are also several data-related gaps in current practice for SDoH research



Substantial Costs

The costs of acquiring and/or analyzing **"omic"** data linked to other sources of RWD can be substantial (i.e., tens of millions of dollars per year to license specialty datasets, or billions of dollars to acquire RWD providers), and the return on investment is difficult to determine.¹



There is a lack of comprehensive data for both health and social outcomes; lack of large sample sizes, particularly for subgroup analyses; and differences in unmeasured characteristics between those who participate in health-related social needs (**HRSN**) interventions and those who do not.²



Data Availability

3

Real-world data (RWD) are related to patient health status and/or the delivery of healthcare routinely collected from a variety of sources **Clinical**





SDoH can be used to support diversity, equity, and inclusion (DE&I) in clinical trials



Identifies study population where inclusion/exclusion criteria are related to DE&I (e.g., certain race/ethnicity groups)



Improves DE&I in trials by targeting the sites with higher disparity rates



Evaluates the impact of SDoH factors on clinical outcomes of interest with post-hoc trial data analysis



SDoH data can bring additional insights to RWD studies

• Linkage of SDoH data at the patient or geographical level

 Identify unmet needs among populations with certain SDoH characteristics



 Evaluate the impact of targeted interventions in the real-world setting

 Identify additional SDoHrelated risk factors and confounders to improve study design, analysis and the interpretation of outcomes



However, availability of SDoH data varies across RWD sources

Primary Surveys

- Owned by study sponsor
- Specific patient population; small sample sizes
- Depending on objective, can contain rich SDoH information

Patient Registries

- May be available for research purposes
- Specific patient population; sample sizes vary
- Tend to contain rich patient data, including SDoH

EHR Data

- A digital version of a patient's medical chart, generated during care delivery
- Can contain SDoH information

Administrative Claims

- Widely available for research purposes
- General population; medical, pharmacy, lab claims; large sample sizes
- SDoH usually very limited



There are multiple sources of SDoH data that can enhance insights derived from administrative claims



Z-codes for diagnostic documentation in administrative claims



Area-level SDoH from publicly available datasets

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Direct linkage at patient level, such as consumer data or EMR

For the rest of this section, we will be focusing on these enhancements to administrative claims data, their characteristics, and their limitations



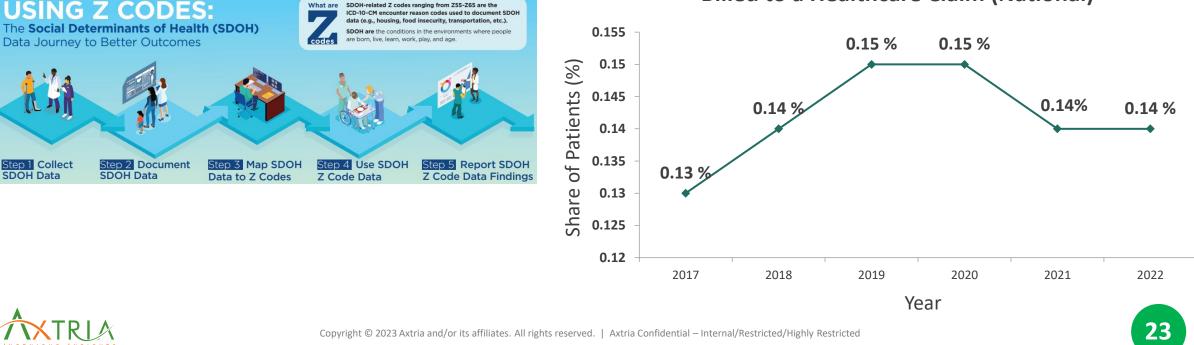
SDoH data can be captured in administrative claims through Zcodes but are extremely underutilized

 V-codes (ICD-9) and Z-codes (ICD-10) are allocated for diagnostic documentation in clinical data sources¹

SDOH-related Z codes ranging from Z55-Z65 are the

• Year-over-year proportion of Z-codes usage nationally remains low

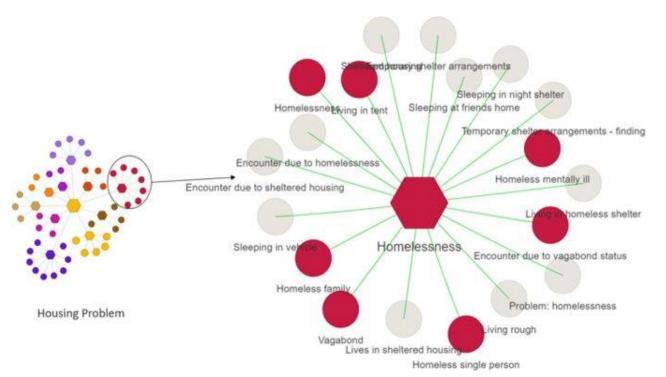
Annual Proportion of Patients with a Z-Code Billed to a Healthcare Claim (National)²



https://www.cms.gov/files/document/zcodes-infographic.pdf; 2. https://www.trillianthealth.com/insights/the-compass/provider-documentation-of-social-determinants-of-health-continues-to-stall

Patient-level linkage of SDoH from text in EHR / consumer data can generate rich insights

- Electronic Health Records (EHR)
 - structured and unstructured components
 - Physician's notes may contain comments about SDoH, which can be extracted using Natural Language Processing (NLP)
- Consumer data
 - May contain person or household level SDoH data
- Linking EHR/consumer data requires tokenization, is labor and cost expensive





Publicly available data sources contain rich information about SDoH



Social vulnerability index

- Indicates the relative vulnerability of every U.S.
 Census tract
- 16 social factors, including unemployment, racial and ethnic minority status, and disability

1. https://data.cms.gov/



Contains population characteristics reported across geographies on:

 Education, housing, business, economy, race, ethnicity, income, poverty, employment Health Resources & Services Administration

3

Contains geographical information about:

 Health care professions, health facilities, economics, hospital utilization, hospital expenditures at the county, state, and national levels

Other public sources covering issues such as behavioral risk, health, and nutrition include **BRFSS, NHANES,** and **NCIPC**.

BRFSS - Behavioral Risk Factor Surveillance System | NHANES – National Health and Nutrition Examination Survey | NCIPC - National Center for Injury Prevention and Control

2. https://data.census.gov/

3. https://data.hrsa.gov/

Examples of area-level SDoH data in US Census data





Population and People Total Population 331,449,281



Business and Economy Total Employer Establishments 8,000,178



Race and Ethnicity Hispanic or Latino 62,080,044



Education Bachelor's Degree or Higher 35%



Hou Total

Housing Total Housing Units 140,498,736



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Income and Poverty Median Household Income 69,717



Data available at the national, state, census tract, metropolitan area, zip-code, etc.



Geographic-level disparity of health outcomes: cardiovascular disease-related deaths

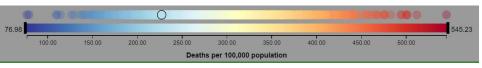


CVD Deaths per 100k population: 221.94 Physicians per 100k population: 387.95





Kern County, CA CVD Deaths per 100k population: **311.74** Physicians per 100k population: **159.97**



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https://www.cdc.gov/dhdsp/maps/atlas/index.htm

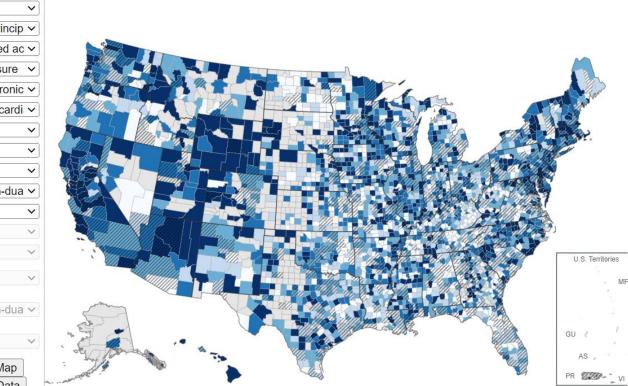
San Luis Obispo County, CA CVD Deaths per 100k population: 227.44 Physicians per 100k population: 408.25

27



Geographic-level disparity of health outcomes: acute myocardial infarction among Medicare beneficiaries

ſ	Download Geogra	aphic Profile Data	
	Download Data	Download Map	
	omparison edicare Eligibility	All	1
	omparison Dual igible	Dual & non-dua	
	omparison Race d Ethnicity	All	~
Co	omparison Age	All	~
Co	omparison Sex	All	V
Me	edicare Eligibility	All	v
Du	ual Eligible	Dual & non-dua	1
Ra	ace and Ethnicity	All	1
Ag	je	All	3
Se	x	All	`
Co	ondition/Service	Acute myocard	i
Do	omain	Primary chronic	~
An	nalysis	Base measure	V
Ad	ljustment	Unsmoothed ac	
Me	easure	Average princip) ~
Ge	eography	County	•
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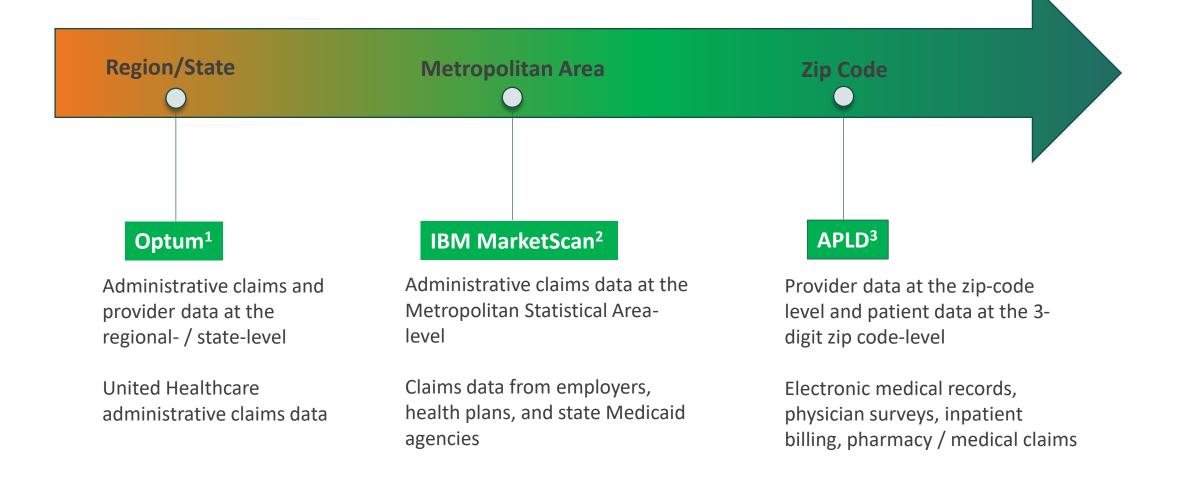
Disparities can be explored by:

- Visualizing health outcomes measures at a region, state, or county level, or by age group, sex, and race
- Exploring outcomes disparity using comparison stratified by geographical location, age group, sex, or race

One caveat of public data is enrollees of government insurance programs may be lower income, older, or have disability status, factors which may not necessarily represent patients enrolled in commercial plans



Administrative claims can be enhanced by leveraging the wealth of public SDoH data available at geographic levels

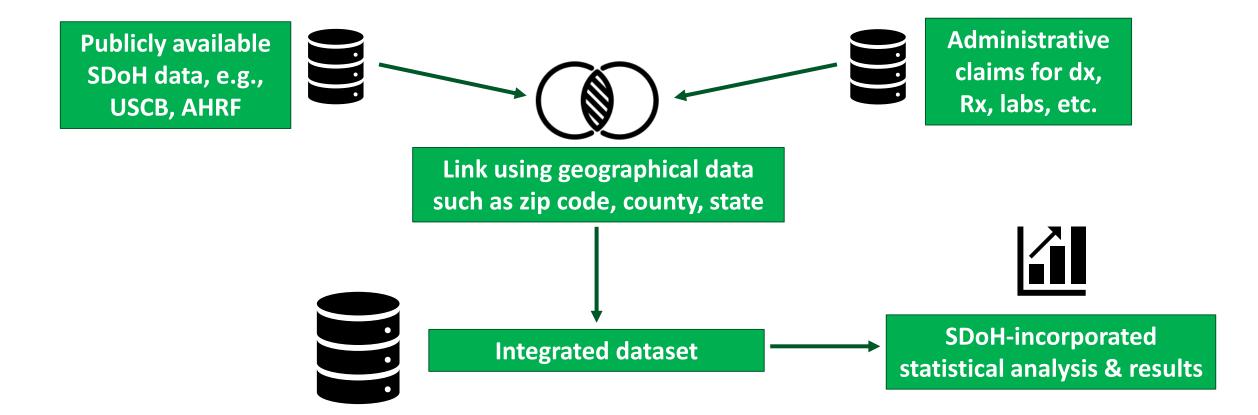




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1. https://www.optum.com/business/life-sciences/real-world-data/claims-data.html; 2. https://www.ibm.com/downloads/cas/0NKLE57Y; 3. https://www.iqvia.com/solutions/real-world-evidence/real-world-data-and-insights

Linking patient claims to publicly available SDoH data offers valuable insights





Methodological Considerations

DISCLAIMER: analysis is for illustrative purposes only, not intended to make any conclusions regarding patient outcomes

This analysis aims to compare 4 methods for integrating geography-based SDoH with patient-level health data

Method 1	RWD patient-level analysis; qualitative comparison of SDoH at the county-level
Method 2	Aggregate patient-level health data to the county-level and analyze along with SDoH data at the county-level
Method 3	RWD patient-level analysis where county-level SDoH are attributed to each patient based on the county they live in
Method 4	Mixed effect modeling of method 3, where county is the random effect

Data sourcesSimulated patient data from CMS county-level prevalent comorbidities; SDoH data
from USCB, ACS, and USBLS; access to care data from AHRF



Significant clinical and SDoH predictors of health outcomes among a cohort of simulated patients will be identified

Outcome	come Chronic Kidney Disease (stages 1-5; patient-level = yes/no, county-level = prevalence rate)	
Clinical & demographic	Atrial Fibrillation, Diabetes, Heart Failure, Hyperlipidemia, Hypertension, Ischemic Heart Disease, Stroke, Age, Sex	CMS
predictors	Race	USCB
Social determinants of health	Employment	USBLS
orneatti	Poverty Status ^{*§}	USCB
	Education ^{**} , Insurance Status	ACS
	Number of Physicians / 100K People	AHRF

Patient-level clinical conditions, age, and sex were simulated based on CMS data stratified by age (<65, \geq 65) at the county-level and by sex (male, female) at the state-level. All other variables were used directly from the source, reported at the county-level.

CMS – Centers for Medicare and Medicaid Services | USCB – United States Census Bureau | USBLS – US Bureau of Labor Statistics | ACS American Community Survey | AHRF – Area Health Resource Files

Note: chronic conditions prevalence percentages are from 2018. SDoH data, hence, is from 2018 with a few exceptions. *Data from 2020. **Aggregate of data from 2017-2021. [§]USCB official definition: pre-tax cash income against a threshold that is set at three times the cost of a minimum food diet, adjusted for family size.

Analysis will focus on patient- and county-level data from Texas



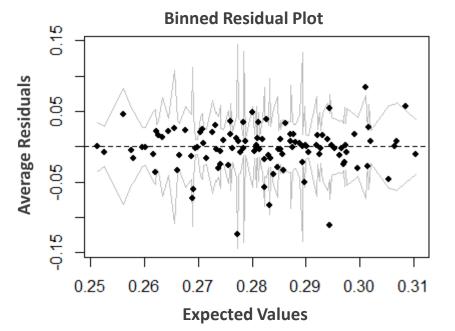
- 8 out of top 10 high-risk counties based on prevalence of selected chronic conditions were in Texas
- Texas has counties with a wide range of diversity; 2.8% - 90.3% population being Non-Hispanic White¹
- The range of median household income varies from 31k - 106k USD (vs national average of 70k USD)¹



Method 1: RWD patient-level analysis identifies significant demographic and clinical predictors of CKD

Logistic regression using simulated patient-level health data

Chronic Kidney Disease (y/n) ~ Age ≥65 + Sex + Atrial Fibrillation + Stroke + Hypertension + Diabetes + Hyperlipidemia + Heart Failure + Ischemic Heart Disease



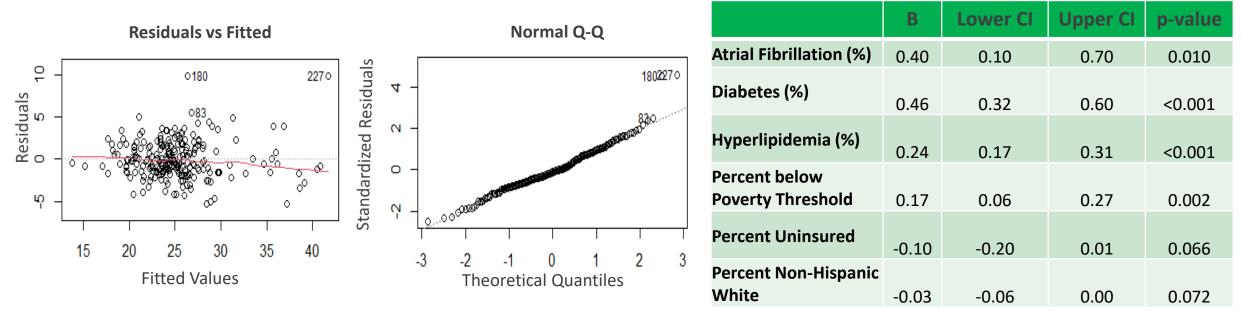
	В	OR	Lower Cl	Upper Cl	P-value
Age ≥65	-0.09	0.91	0.88	0.94	<0.001
Diabetes	0.05	1.06	1.03	1.09	<0.001
Hyperlipidemia	0.06	1.06	1.03	1.09	<0.001
Hypertension	0.04	1.05	1.02	1.07	0.002
lschemic Heart Disease	0.03	1.03	1.00	1.07	0.05

Good overall model fit with some outliers. The high number and magnitude of negative outliers suggests overprediction for higher expected values of CKD.



Method 2: Analysis of county-level health and SDoH data identify geography-based predictors of CKD prevalence

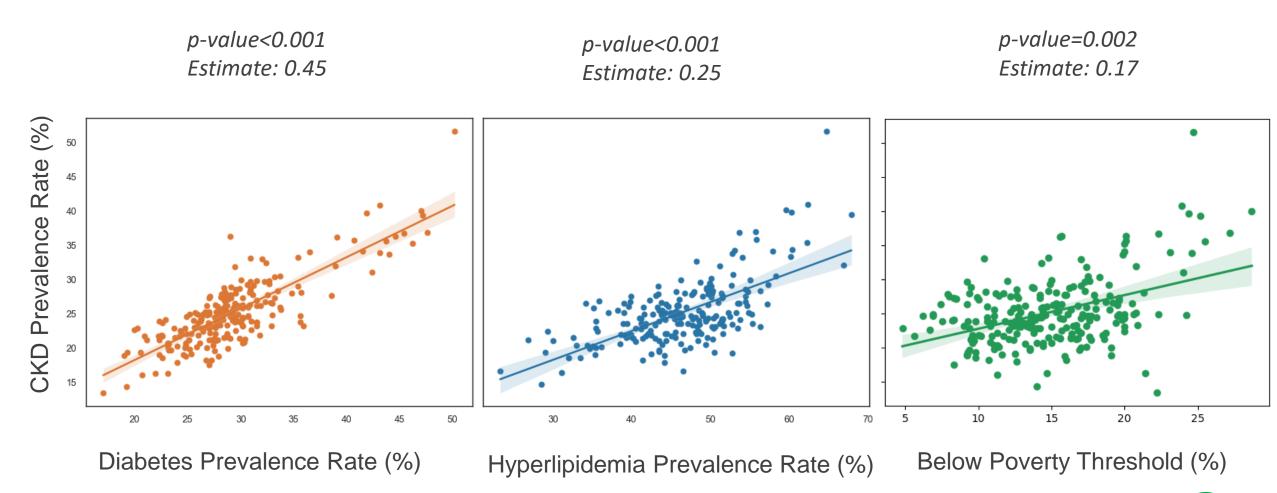
Simple linear regression using county-level health and SDoH data
Prevalence of Chronic Kidney Disease ~ Percent Age ≥65 + Percent Male + Atrial Fibrillation (%) + Stroke (%) + Hypertension (%) +
Diabetes (%) + Hyperlipidemia (%) + Heart Failure (%) + Ischemic Heart Disease (%) + Percent below Poverty Threshold
+ Uninsurance Rate + Unemployment Rate + Percent Population with Bachelor's Degree + Percent White Non-Hispanic + Physician Rate



Good linear model fit with a few counties as outliers



Unadjusted county-level rates of CKD correlate to prevalence of significant predictors in method 2 analysis





Findings from method 1 can inform clinical decision making, while method 2 may guide public health and policy interventions

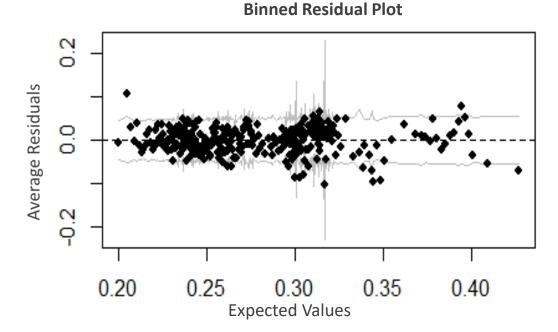
Method 1	Method 2
Patient-level health data	County-level health and SDoH data
 Logistic regression with patient-level CKD (yes/no) as the outcome 	Linear regression with county-level CKD prevalence rate as the outcome
 Age, diabetes, hyperlipidemia, hypertension, and ischemic heart disease as significant predictors 	 Atrial fibrillation, diabetes, hyperlipidemia, percent below poverty threshold, percent uninsured, and percent non-Hispanic white as significant predictors
 Can be used as a reference for establishing relationships between patient clinical profiles and health outcomes 	 Introduces SDoH data into clinical analysis and does not depend on simulated data if patient- level data is not available



Method 3: Integrating county-level SDoH with patient-level health data provides insight on how community factors may influence individual outcomes

Logistic regression using simulated patient-level health and county-level SDoH data

Chronic Kidney Disease (y/n) ~ Age ≥65 + Sex + Atrial Fibrillation + Stroke + Hypertension + Diabetes + Hyperlipidemia + Heart Failure + Ischemic Heart Disease + Percent below Poverty Threshold + Uninsurance Rate + Unemployment Rate + Percent Population with Bachelor's Degree + Percent White Non-Hispanic + Physician Rate



Good overall fit having a few outliers, with a bit of overprediction for CKD in counties falling in the higher range of expected values

	В	OR	Lower Cl	Upper Cl	p-value
Age ≥65	-0.06	0.94	0.91	0.98	0.002
Hypertension	0.03	1.03	1.00	1.06	0.065
Percent below Poverty Threshold	-0.01	0.99	0.99	1.00	0.031
Percent Uninsured	0.01	1.01	1.01	1.02	<0.001
Unemployment Rate	0.02	1.02	1.01	1.04	0.001
Percent Non-Hispanic White	-0.01	0.99	0.99	0.99	<0.001

Findings from method 2 may guide public health and policy interventions while method 3 can inform clinical decision making through a health equity lens

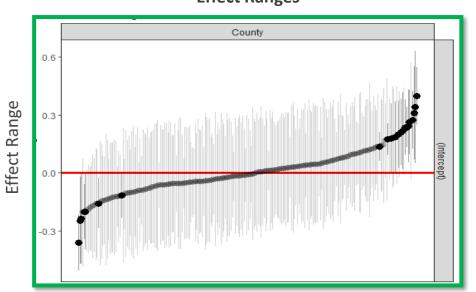
Method 2	Method 3
County-level health and SDoH data	Patient-level health and county-level SDoH data
Linear regression with county-level CKD prevalence rate as the outcome	 Logistic regression with patient-level CKD (yes/no) as the outcome
 Atrial fibrillation, diabetes, hyperlipidemia, percent below poverty threshold, percent uninsured, and percent non-Hispanic white as significant predictors 	 Age, hypertension, percent below poverty threshold, percent uninsured, unemployment rate, percent with Bachelor's degree or higher, and percent non-Hispanic white as significant predictors
 Smaller sample; prone to high residual estimates if a high-risk county acts as an outlier; possible overfitting if many variables used 	 Larger sample sizes; leverages all patient-level data along with county-level SDoH in the same statistical model



Method 4: Mixed effect modeling with county as the random effect accounts for non-independence of patients within geographies

Logistic regression using simulated patient-level health and county-level SDoH data

Chronic Kidney Disease $(y/n) \sim Age \ge 65 + Sex + Atrial Fibrillation + Diabetes + Stroke + Hypertension + Hyperlipidemia + Ischemic Heart Disease + Percent below Poverty Threshold + Uninsurance Rate + Unemployment Rate + Percent Population with Bachelor's Degree + Percent White Non-Hispanic + Physician Rate + (1|County)$





	В	OR	Lower CI	Upper Cl	p-value
Age ≥65	-0.07	0.93	0.89	0.97	<0.001
Unemployment Rate	0.03	1.04	1.01	1.06	0.001
Percent with Bachelor's Degree or Higher	0.01	1.01	1.00	1.01	0.028
Percent Non- Hispanic White	-0.01	0.992	0.990	0.993	<0.001

Group

Grey vertical bars represent individual counties. The red horizonal line denotes an effect of 0. The mixed-effect model is well-fitting as majority of counties have an effect of zero.



Findings from methods 3 and 4 can inform clinical decision making through a health equity lens, while method 4 statistically accounts for hierarchical clustering at the county-level

Method 3	Method 4
Patient-level health and county-level SDoH data	Patient-level health and county-level SDoH data
 Logistic regression with patient-level CKD (yes/no) as the outcome 	• Mixed effect model with patient-level CKD (yes/no) as the outcome and county as the random effect
 Age, hypertension, percent below poverty threshold, percent uninsured, unemployment rate, percent with Bachelor's degree or higher, and percent non-Hispanic white as significant predictors 	 Age, unemployment rate, percent with Bachelor's degree or higher, and percent non-Hispanic white as significant predictors
 Likely biased standard errors due to non- independence of samples within counties; multicollinearity if county is used as a predictor 	 Unbiased standard errors due to accounting for non-independence of samples within counties; lacks patient-level SDoH



CONSIDERATIONS

- Specific research objective
- Data availability
- Appropriate model fit

CONCLUSIONS

Health Equity

Disparities in healthcare impact many people and can include factors such as race, income level, gender, geography, sexual orientation, and gender identity



https://www.ispor.org/docs/default-source/ispor-good-practices-for-outcomes-research-index/ispor_top10-2022-2023_online.pdf?sfvrsn=61a9ec28_2

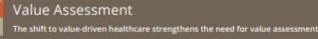
ISPOR 2022-2023 TOP10 HEOR TRENDS

The Pandemic









COVID-19 has permeated and/or impacted virtually every trend in this "Top 10" list



Health Equity Illuminated by the pandemic, interest in researching and addressing healthcare disparities intensifies

WE in healthcare decision making remains the top trend as its use and impact grows in importance



Healthcare Financing As new and innovative technologies come to market, healthcare financing remains in the spotlight



Patient Engagement Interest in infusing the "patient voice" in healthcare research remains high

Drug and Healthcare Pricing The need for price transparency in healthcare co



The need for price transparency in healthcare continues as a vital issue and top trend

Public Health The significance of key priorities in public health has been elevated in light of the pandemic

Health Technology Assessment The benefits and challenges of cross-country cooperation brings HTA back to the trends list



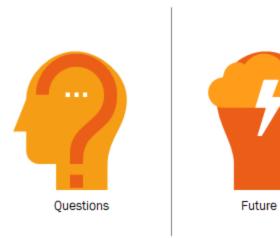
Health Data Infrastructure and interoperability of health data becomes integral to its effective use

Artificial Intelligence

The potential grows for AI and advanced analytics to have a profound impact on healthcare

Panel Discussion

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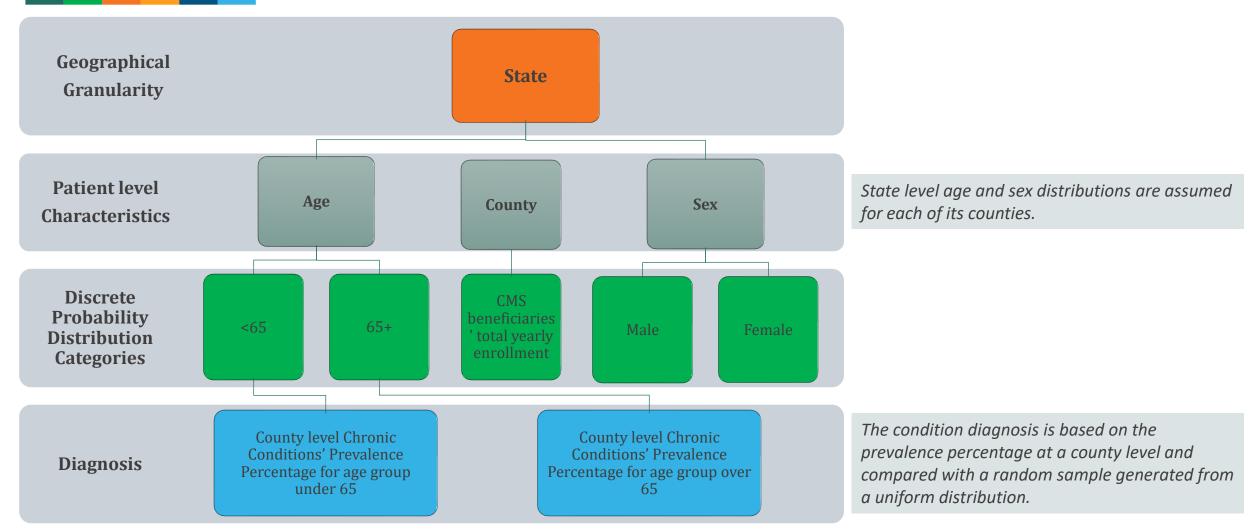


Appendix

Panel questions

- In the future, can we envision including collection of SDoH data in clinical trials?
- Which therapeutic areas are having the greatest disparities and health inequities in terms of access to care?
- What incentive do payers have to promote and utilize Z-codes for reporting and tracking SDoH if these are not billable?
- What incentive do physicians / healthcare providers have to report and track SDoH through Z-codes? What prevents them from doing so?

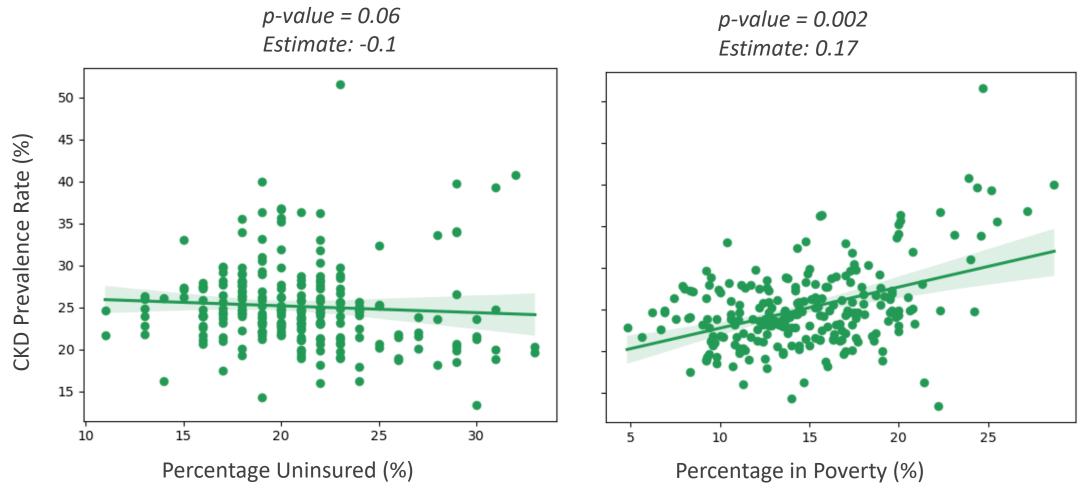
Patient Level Data Simulation





Uninsurance and poverty rate vs CKD for Method 2

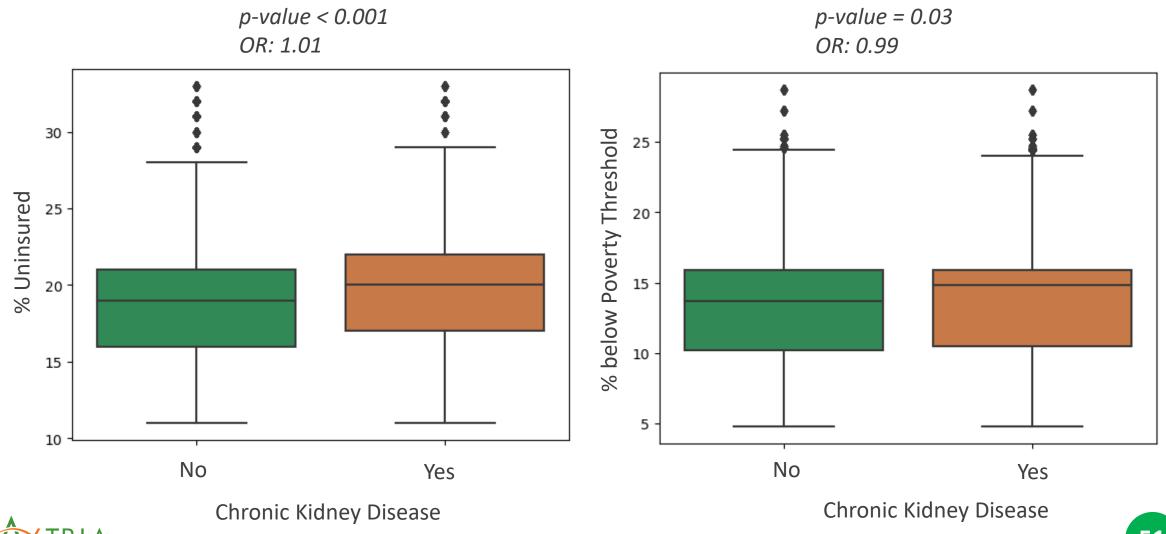
County-level health & SDoH data





Uninsurance and poverty rate vs CKD for Method 3

Patient-level health & county-level SDoH data



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Z-codes

https://www.cms.gov/files/document/z-codes-data-highlight.pdf

Key Findings:

Among the 33.1 million continuously enrolled Medicare FFS beneficiaries in 2019, **1.59%** had claims with Z codes, as compared to 1.31% in 2016.

The 5 most utilized Z codes were:

- 1) **Z59.0** Homelessness
- 2) **Z63.4** Disappearance and death of family member
- 3) **Z60.2** Problems related to living alone
- 4) **Z59.3** Problems related to living in a residential institution
- 5) **Z63.0** Problems in relationship with spouse or partner

Z55	Problems related to education and literacy
Z56	Problems related to employment and unemployment
Z57	Occupational exposure to risk factor
Z59	Problems related to housing and economic circumstances
Z60	Problems related to social environment
Z62	Problems related to upbringing
Z63	Other problems related to primary support group, including family circumstances
Z64	Problems related to certain psychosocial circumstances
Z65	Problems related to other psychosocial circumstances

