A Best Practice Guide to Cost-**Effectiveness Modelling of CAR Ts** in Large B-Cell Lymphoma

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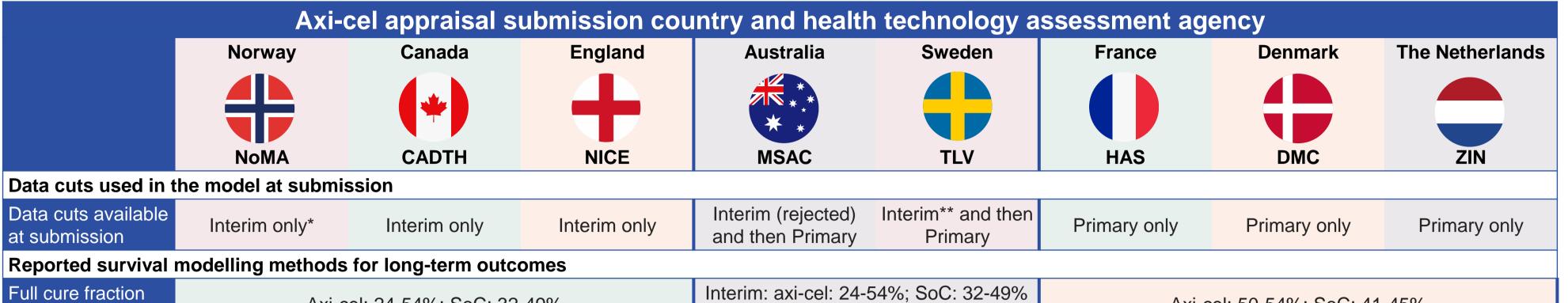
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BACKGROUND

• Axicabtagene ciloleucel (axi-cel) is the first chimeric antigen receptor T-cell (CAR T) therapy to receive a positive recommendation by multiple country health technology assessment (HTA) agencies for the treatment of diffuse large B-cell lymphoma (DLBCL) at second-line (2L).¹⁻⁹

Axi-cel key clinical trials ZUMA-7¹⁰ **ZUMA-1**¹¹ **Divotal trial** Supportivo avidanca

Table 1. Key elements extracted from axi-cel appraisals



| Pivotai triai | Supportive evidence | |
|---|---|--|
| Phase 3, dual-arm trial that | Phase 2, single-arm trial that | |
| investigated axi-cel as a 2 nd | investigated axi-cel as a 3 rd | |
| line DLBCL treatment | line DLBCL treatment. | |

- Appraisals were based on **ZUMA-7**, where axi-cel exhibited superior overall survival (OS) vs. standard of care (SoC; salvage chemoimmunotherapy then high-dose chemotherapy with autologous stem-cell transplant for responders).
- However, different ZUMA-7 data cuts were used for the HTA assessments. The **Interim** analysis used 25-month follow up and the **Primary** analysis used 47-month follow up (Figure 1).
- **ZUMA-1** data were available as supportive evidence, which is a later-line trial with 5-year follow-up in the same indication (Figure 1), demonstrating the plausibility of cure and high levels of precision regarding the estimated cure fraction. Relative to ZUMA-7, the ZUMA-1 population is expected to have a higher risk of mortality and increased DLBCL disease progression.
- The company's choice of OS extrapolation for the HTA assessments was based on goodness-of-fit criterion (AIC and BIC), long-term considerations of visual and statistical fit, and the exclusion of clinically implausible distributions for axi-cel in the Interim analysis based on the ZUMA-1 survival data.
- The ZUMA-7 curves determined clinically implausible were those where the cure fraction or survival curve were lower than (or matched) those in ZUMA-1. This included exponential, log-logistic and log-normal (see Figure 1).
- In the SoC arm of ZUMA-7 a high number of participants received subsequent CAR T treatment. For countries where CAR T was not approved for routine use for 3L+ DLBCL treatment, crossover adjustments were available for use.

| ranges | Axi-cel: 24-54%; SoC: 32-49% | | | Primary: axi-cel: 50-54%; SoC: 41-45% | | Axi-cel: 50-54%; SoC: 41-45% | | |
|---|----------------------------------|---------------------------------------|--|---------------------------------------|--|------------------------------------|--|---------------------------------------|
| Submitted OS distributions | ons $Axi-cel: GG (53\%)$ | Axi-cel: GG (53%) SoC: GG (42%) | Axi-cel: GG (53%) SoC: Crossover adjusted HR | Axi-cel: GG (53%) SoC: GG (42%) | Axi-cel: GG (53%) SoC: GG (42%) | Axi-cel: GG (54%) SoC: GG (41%) | Axi-cel: gamma (54%) SoC: Crossover adjusted HR | Axi-cel: GG (54%) SoC: GG (41%) |
| (cure fractions) | | | | Axi-cel: gamma (54%) SoC: GG (41%) | Axi-cel: GG (54%) SoC: GG (41%) | | | |
| Agency preferred | Axi-cel: GG SoC: GG | Axi-cel: log-logistic SoC: GG | Axi-cel: log-logistic | Interim: NR | Interim: NR | Axi-cel: GG SoC: GG | Axi-cel: gamma SoC: Crossover- adjusted HR | Axi-cel: exponential SoC: GG |
| OS distributions | | | SoC: Crossover- adjusted HR | Axi-cel: gamma SoC: GG | Axi-cel: log-normal SoC: log-normal | | | |
| Agency preferred | Axi-cel: 53% | Axi-cel: 44% SoC: 42% | Axi-cel: 53% SoC: NR | Interim (both): NR | Interim (both): NR | Axi-cel: 54% SoC: 41% | Axi-cel: 54% SoC: NR | Axi-cel: 51% SoC: 41% |
| OS cure fractions | SoC: 42% | | | Axi-cel: 54% SoC: 41% | Axi-cel: 50% SoC: 44% | | | |
| Rationale provided | Submission base- | Clinically plausible, | Clinically plausible, | Submission base- | Most | Submission base- | To account for axi- | Clinically plausible, |
| for preference | case considered appropriate * | most conservative axi-cel OS curve | most conservative options selected | case considered appropriate | conservative options selected | case considered appropriate | cel not approved at 3L+ | most conservative axi-cel OS curve |
| ZUMA-1: Agency | Validate mixture | Extrapolation | To excluded | Both submissions: | Not considered | Not considered | Validate mixture | Validate mixture |
| considerations | cure modelling | selection | implausible curves | Not considered | | | cure modelling | cure modelling |
| Other considerations in assessment: Agency preferred settings and perspective | | | | | | | | |
| | | | | | | | | |

| other considerations in assessment. Agency preferred settings and perspective | | | | | | | | |
|---|------------------|----------------------------|-------------------------------------|------------------------------------|------------|------------|-------------------|------------|
| Post-event utility data source | ZUMA-1 | Clinical expert opinion | ZUMA-1 | Interim: ZUMA-7 Primary: ZUMA-1 | ZUMA-1 | ZUMA-1 | ZUMA-1 | ZUMA-1 |
| Survivor mortality | SMR: 1.09 | SMR: 1.18 | SMR: 1.09 | SMR: 1.09 | SMR: 1.09 | SMR: 1.09 | SMR: 1.09 | SMR: 1.09 |
| Manufacturing success | Neutral comments | Negative comments | Re-apheresis cost captured in model | No comment | No comment | No comment | Negative comments | No comment |

* NoMA were only provided a description of the Primary Analysis OS to support results from Interim cost-effectiveness analysis. ** TLV did not formally assess the Interim analysis submission documents. Abbreviations: Axi-cel – axicabtagene ciloleucel; EFS – event-free survival; GG – generalised-gamma; OS – overall survival; NR – not reported; SMR – standardised mortality ratio; SoC – standard of care.

Submissions using Interim axi-cel data only

- NICE considered exponential and log-normal clinically implausible for axi-cel OS as the cure fractions were below those in ZUMA-1 (Figure 1). CADTH and NICE preferred the log-logistic model to extrapolate axi-cel OS because it was considered the most conservative model, whilst still reflecting the survival benefit conveyed in the ZUMA-1 data.
- NoMA stated that Interim data were too immature to estimate a cure fraction. ZUMA-1 was used to validate the use of MCM extrapolations for OS and exclude the use of spline-models. Primary analysis became available during the submission process and was used to help validate the long-term survival predictions in the model based on the Interim OS data.

Other considerations in assessment

Crossover adjustments were used when CAR T treatment was not approved for routine use in 3L+ DLBCL (Denmark and England) as SoC in ZUMA-7 did not reflect clinical practice.

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- Most agencies used ZUMA-1 post-event utility data.
- An SMR of 1.09 for was used by all agencies, except CADTH who utilised a conservative estimate of 1.18.
- Manufacturing failure results in delays to treatment and worse patient outcomes.¹² The 99% axi-cel manufacturing success was viewed in a negative or neutral framing by all agencies.

OBJECTIVES

- To summarise how different agencies with cost-effectiveness analysis as part of the HTA process assessed the key available evidence, with the aim to understand how the uncertainty in survival extrapolations were considered, the role of relevant supportive evidence (ZUMA-1) and other considerations.
- Provide recommendations to improve practice and consistency in approaches for future assessments.

METHODS

- The company submissions and feedback provided by agencies was reviewed for eight 2L DLBCL axi-cel appraisals: Australia, Canada, Denmark, France, England, the Netherlands, Norway, and Sweden.
- A qualitative assessment was undertaken for the way in which the evidence was considered by the HTA agencies, with a focus on the uncertainty around modelling methods for long-term survival and how these impact access to treatment.

RESULTS

- Figure 1 displays the evidence for the Interim submissions: Interim ZUMA-7 axi-cel OS distributions and cure fractions, alongside ZUMA-1 data that was used to validate ZUMA-7.
- All Primary ZUMA-7 OS extrapolations and cure fractions for axi-cel, overlaid with the company and HTA agency preferred Interim ZUMA-7 OS analysis curves are plotted in Figure 2.

Submissions using Interim and Primary axi-cel data

- MSAC did not state a preferred OS distribution in the first submission based on Interim data, citing too much uncertainty. In the second submission with the Primary OS data, MSAC accepted generalised gamma for axi-cel.
- TLV did not formally assess the first submission. In a conservative approach to account for uncertainty in the Primary OS data, the TLV selected the most conservative distributions for axi-cel and SoC in the second submission.
- ZUMA-1 was not considered directly in OS modelling by either MSAC or TLV. MSAC considered ZUMA-1 too limited, as it is a single arm trial at a different line of treatment (3L+).

Submissions using Primary axi-cel data only

- Both the DMC and HAS accepted the axi-cel OS distribution proposed within the company submission.
- ZUMA-1 was used to validate the use of MCM extrapolations for OS by the DMC and ZIN, but not by HAS.
- ZIN preferred the exponential OS distribution for axi-cel to intentionally select a conservative estimate because median survival was not met in ZUMA-7.

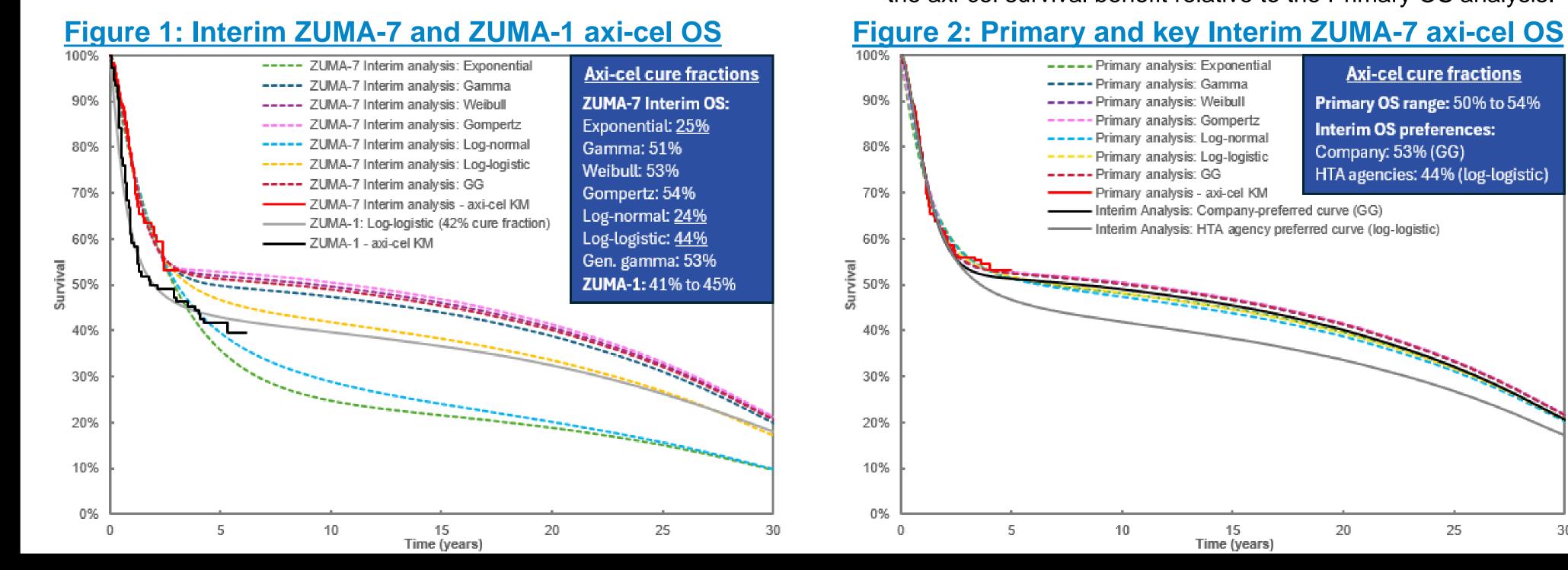
Moving from Interim to Primary OS (Figure 2)

- Figure 2 demonstrates that the company-preferred axi-cel Interim OS distribution (generalised gamma; black line) was consistent with the Primary OS survival curve convergence.
- CADTH and NICE conservatively chose the log-logistic Interim OS distribution (solid grey line), which underestimated the axi-cel survival benefit relative to the Primary OS analysis.

CONCLUSIONS

- This review of 2L DLBCL axi-cel HTA appraisals demonstrated that conservative approaches to extrapolation were used by HTA agencies in response to perceived uncertainties, with limited consideration of clinical plausibility or the wider evidence.
- This conservative approach led to the selection of the loglogistic axi-cel Interim OS distribution (see Figures 1 and 2), which proved to undervalue the axi-cel survival benefit (Figure 2). The Interim base-case was only considered appropriate when validated with the Primary OS data.
- Whilst ZUMA-1 analyses led to agencies accepting the plausibility of cure, formal use in anchoring or confirming Interim ZUMA-7 distributions was absent or varied.
- As most agencies took a conservative approach to OS distribution selection, without ZUMA-1 to validate the ZUMA-7 OS distributions, agencies may have selected even more pessimistic distributions.
- Inconsistencies in approaches were still evident, with most agencies preferring to use more conservative functions.
- HTA agencies selecting 'pessimistic' OS curves without considering clinically valid rationale or fully incorporating all wider evidence, risk under-valuing the technology and disincentivising future investment.
- Requiring 48-months follow-up from a comparative Phase 3 randomised control trial, whilst not considering mature and relevant supportive evidence, is a high bar to set for pharmaceutical companies, and may be prohibitive, can result in delays to access to treatment and loss of life.

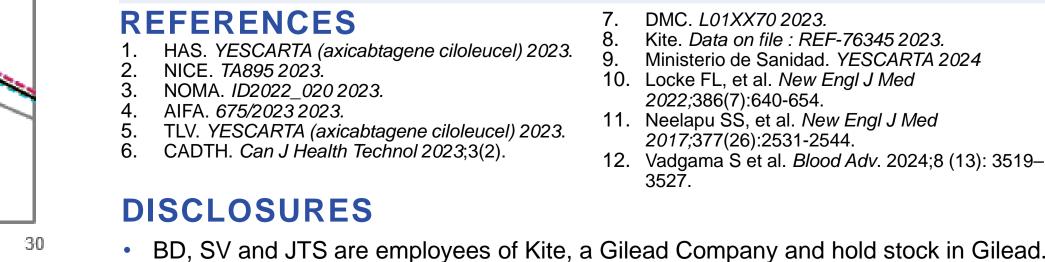
Table 1 summarises key considerations from each appraisal.



• When CAR T is not in routine use at 3L+ DLBCL, there is increased reliance on crossover adjustment analyses.

RECOMMENDATIONS

- Greater consideration of the evolving evidence base when selecting appropriate survival and post-event utility settings, particularly where more mature data is available in later lines.
- Encourage more formal approaches to incorporating relevant external evidence to address uncertainties, rather than employing conservative assumptions



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