

Combining causal inference and within-trial economic evaluation methods to assess the cost-effectiveness of a mental health service using real-world data: the ADAPT study

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SUMMARY. We describe how to conduct a causal economic evaluation using mental health real-world data from Talking Therapies service data in England.

OBJECTIVES. Real-world evidence is playing an increasingly important role in health technology assessment, but is prone to selection and confounding bias. We demonstrate how to conduct a real-world within-study cost-per-quality-adjusted-life-year (QALY) analysis. We combined traditional within-trial bootstrapped regression-baseline-adjustment with causal inference methods, using a Target Trial (TT) framework, inverse probability weights (IPWs), marginal structural models (MSMs), and g-computation (g-comp), applied to England's Improving Access to Psychological Therapies (IAPT) mental-health e-records. IAPT is now formally referred to as Talking Therapies for anxiety and depression (TTad) services.

METHODS. The 'Assessing a Distinct IAPT service' (ADAPT) study evaluated an Enhanced-TTad service to account for the wider determinants of mental health (e.g., debt-based anxiety) against TTad's treatment-as-usual in a Geographical or Historial control. TTad collects patient-reported PHQ-9-depression and GAD-7-anxiety scores at index-assessment and each treatment session, from which we predicted EQ-5D utilities using a mapping function for QALY estimation.

We prespecified our TT including eligibility, treatment strategies, assignment procedure, follow-up, outcomes, estimands, and analysis plan (Table 1). We used stabilised treatment-related and censoringrelated IPWs (sIPTWs and/or sIPCWs) within MSMs to reduce selection and confounding bias due to nonrandomised treatment allocation and informative censoring, respectively. Our doubly-robust approach involved MSM-adjusted baseline covariates and gcomputation to estimate incremental utilities, costs, and QALYs, with bootstrapped bias-corrected 95% confidence-intervals (95%bCls) and CEACs. **RESULTS.** Analysis sample: Enhanced, N=5,441; Geographical control, N=2,149. Naïve regressionbaseline-adjustment and doubly-robust approaches (Tables 2&3) suggested Enhanced-TTad dominated treatment-as-usual, with average per-person (95%bCls) cost-savings of £30.64 (£22.26 to £38.90) or £29.64 (£20.69 to £37.99) and QALYs-gained of 0.00035 (-0.00075 to 0.00152) or 0.00052 (-0.00105 to 0.00277), respectively; probability of costeffectiveness at £30,000 per QALY was 99% or 95%, respectively. Doubly-robust and naïve results concurred; albeit, doubly-robust results suggested average QALY gains were higher but less certain. The cost-effectiveness results were driven by the potential for the Enhanced service to provide cost-savings. Cost-savings were supported by Historical comparisons, but incremental QALYs were uncertain.

CONCLUSION. When treatment allocation is nonrandomised, the TT framework alongside doublyrobust analyses aids reduce selection and confounding bias.

 Table 1. Target Trial protocol overview for the ADAPT study economic evaluation – analysis plan and secondary analyses not presented

 Component
 Description

Eligibility	New referrals to TTad-services: no attendance at the TTad site in the previous 6-months since the new referral
criteria	Newly referred during: March 2021 to March 2022 (intervention & geographical-control) or March 2018 and March 2019 (historical-control)
	Baseline data: recorded PHQ-9 (depression severity) and GAD-7 (anxiety severity) score at baseline – necessary for 'Condition caseness at baseline'
	Baseline condition caseness: classified as having depression caseness (PHQ-9≥10) or anxiety caseness (i.e., GAD-7≥8) at index assessment (i.e., baseline)
	As-started treatment: service-users had attended at least one treatment session to be defined as 'as-started' treatment
	Sufficient TTad data for follow-up period: available data time-horizon must be at least that of the analysis follow-up period (e.g., primary analysis: 16-weeks)
Treatment	Intervention: Enhanced TTad-service (South-West, England), as TAU and/or 'Healthy Living Healthy Minds' programme and/or 1-1 Wellbeing Navigator sessions.
strategies	Geographical control: TAU TTad-service in South-East, England;
	Historical control: TAU TTad-service in the intervention area but before the enhanced service had been implemented.
Assignment	Non-randomised and unblinded: all intervention site referrals are offered the enhanced TTad-service, with uptake based on service-user preference
Follow-up	Baseline (time zero): index appointment to assess condition caseness and allocate people to the waiting-list before first treatment session
period(s)	Primary follow-up: starts at baseline and ends at 16-weeks after baseline, regardless of TAU received and service discharge
Outcome(s)	Primary: PHQ-9 and GAD-7 scores, sex, and age mapped to EQ-5D-5L UK crosswalk utility scores for QALY estimation
	Resource-use/costs: TTad-service EHR recorded resources-use with costs applied for, or inflated to, the year 2020/21
Estimand(s)	Primary ITT: In new referrals to TTad-services, what is the between-group difference in mean TTad-service costs and QALYs accumulated since index assessment (i.e. baseline), with
(causal	QALYs based on EQ-5D-5L crosswalk utilities predicted from PHQ-9 and GAD-7 scores, age, and sex, for those referred to the enhanced TTad-service compared to treatment-as-usual
contrasts)	(TAU) for those within a geographical-control-site up to 16-weeks after baseline, regardless of TAU received and service discharge?
	Primary PP: same as 'as-started', but intervention group participants must have had at least one enhanced TTad-service treatment session
Acronyms &	ADAPT, Assessing a Distinct Improving Access to Psychological Therapies (researchregistry7322); EHR, electronic health record; GAD-7, Generalized Anxiety Disorder-7 scale; HRQoL, health-related quality-of-life;
abbreviations	ITT intention-to-treat: PHO-9. Patient Health Questionnaire-9 depression-scale: PP. per protocol: OALY quality-adjusted life-year: TALL treatment-as-usual

Table 2. Cost-effectiveness results using different methods for ITT intervention vs geographical control over 16-weeks

No.	Method	Outcome	Intervention, N =5,441			Geog	raphical, N=2	,149	Average Treatment Effect (ATE)			
			Mean	95%	95% Cls		95% Cls		Mean	95% Cls		ICER
1	Naïve regression	QALYs	0.20532	0.20475	0.20589	0.20498	0.20402	0.20593	0.00035	-0.00078	0.00148	
	Naïve regression	Costs (£)	£173.85	£170.35	£177.36	£204.49	£197.29	£211.70	-£30.64	-£38.68	-£22.60	Dominates
2	MSM w/ sIPTW	QALYs	0.20555	0.20497	0.20613	0.20488	0.20395	0.20580	0.00067	-0.00043	0.00177	
	MSM w/ sIPTW	Costs (£)	£173.78	£170.28	£177.28	£203.42	£196.01	£210.84	-£29.64	-£37.82	-£21.47	Dominates
3	MSM w/ sIPCW	QALYs	0.20706	0.20651	0.20761	0.20685	0.20593	0.20778	0.00020	-0.00089	0.00130	
	No. 2	Costs (£)	£173.78	£170.28	£177.28	£203.42	£196.01	£210.84	-£29.64	-£37.82	-£21.47	Dominates
4	MSM w/ sIPTW*sIPCW	QALYs	0.20723	0.20668	0.20779	0.20676	0.20582	0.20769	0.00048	-0.00062	0.00157	
	No. 2	Costs (£)	£173.78	£170.28	£177.28	£203.42	£196.01	£210.84	-£29.64	-£37.82	-£21.47	Dominates
5	No. 4 & g-comp	QALYs	0.20519	N/A	N/A	0.20467	N/A	N/A	0.00052	N/A	N/A	
	No. 2 & g-comp	Costs (£)	£175.13	N/A	N/A	£204.77	N/A	N/A	-£29.64	N/A	N/A	Dominates

Table 3. Cost-effectiveness results for ITT and PP-intervention Vs control over 16-weeks with bootstrapped bias-corrected confidence intervals

Comparison	Method	QALYs		Costs, £			ICER	Prob. CE < λ per QALY			
		Mean	BC 959	% bCls	Mean	BC 95% bCls			λ = £0	λ = £20k	λ = £30k
ITT (N=5,441) Vs.	Naïve regression	0.00035	-0.00075	0.00152	-£30.64	-£38.90	-£22.26	Dominates	100%	100%	99.3%
Geog (N=2,149)	Doubly robust	0.00052	-0.00105	0.00277	-£29.64	-£37.99	-£20.69	Dominates	100%	98.1%	95.0%
PP (N=549) Vs.	Naïve regression	-0.00478	-0.00729	-0.00233	-£34.09	-£45.95	-£22.70	< Q & < £	100%	49.2%	31.3%
Geog (N=2,149)	Doubly robust	-0.00157	-0.00736	0.00322	-£33.33	-£45.24	-£21.82	< Q & < £	100%	57.8%	46.2%
ITT (N=5,441) Vs.	Naïve regression	0.00364	0.00261	0.00471	-£84.54	-£92.78	-£76.61	Dominates	100%	100%	100%
Hist (N=4,001)	Doubly robust	0.00153	0.00025	0.00298	-£84.86	-£92.52	-£76.50	Dominates	100%	100%	100%
PP (N=549) Vs.	Naïve regression	0.00008	-0.00278	0.00262	-£85.50	-£97.60	-£75.07	Dominates	100%	99.9%	95.9%
Hist (N=4,001)	Doubly robust	-0.00103	-0.00535	0.00167	-£88.15	-£99.83	-£77.06	< Q & < £	100%	96.9%	89.4%