

# Emerging Best Practices of Hybrid & Virtual Decentralized Approach and Risk-Based Quality Management (RBQM) to optimize prospective Real-World Evidence (RWE) Studies (Observational Studies)

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## RWE Regulatory Landscape and Emerging Trends in Prospective Observational Studies <sup>1, 2</sup>

### Evolution of RWE Regulatory Landscape

- RWE identified as key area of focus in medical devices and digital health, in rare diseases per NICE five-year strategy plan on April 19, 2021.
- In June 2022, EMA, FDA, and HC co-chaired ICMRA workshop to share experience on accomplishments and challenges of RWE in medicines regulation, and to identify opportunities for future regulatory collaboration. <sup>1</sup>
  - Harmonization of RWD and RWE technologies
  - Convergence of RWD and RWE guidance
  - Readiness
  - Transparency
- In December 2021, MHRA (UK) released guidance on the use of real-world data in clinical studies to support regulatory decision making and requirements for gaining approval

ICMRA\*: International Coalition of Medicines Regulatory Authorities

### Significant contribution from RWE to support recent US FDA regulatory approvals

- 70 % increase (YoY) from 2019 to 2020 in RWE contribution for generating evidence of safety and/or effectiveness.
- RWE study played a key role in 78% of FDA approvals related to NDA and BLAs in 2020, as compared to 53% in 2019.
- 75% of all the FDA approvals in infectious diseases in 2020 included RWE study as substantial, primary or supportive evidence.
- 90% of Oncology approvals in 2020, included an RWE Study
- 59% of the decisions specific to product labels follow RWE studies and their findings
- Growing demand of Platforms that integrate clinical study data with RWD, such as health insurance claims, electronic medical records (EMRs), genomic data, patient-reported outcomes (PROs) etc.

## Emerging Trends in Prospective Observational Studies <sup>2, 3, 4, 5</sup>

### Demonstrable Consistent Growth of Prospective Observational Studies, 2017-2021

Year	Count
2017	3332
2018	3516
2019	3902
2020	4764
2021	4927

### Consistent Dominance of Prospective Observational Studies with > 65% of Observational Studies (Retrospective & Prospective)

Year	Percentage
2017	69%
2018	68%
2019	69%
2020	66%
2021	68%

### Research Objective (for supporting regulatory approval or HTA approval)

**Therapeutic Area**

### Number of Sites

Average Number of Sites used in Prospective Observational Studies

**Avg study duration in months**

60 months

### Types of RWD source (Single source or integration of multiple sources)

Our research indicates significant trend of prospective observational study design leveraging integration of multiple Real World Data sources

### No. of Prospective Observational Studies with Sample Size (>50,000)

### Complexity Criteria for Prospective Observational Studies

## Adopting Best Practices of Decentralized Trials for Optimizing Prospective Observational Studies <sup>6</sup>

### What is Decentralized Approach?

DCT\* - Decentralized Trial

### Enabling Evolution of Patient Centric Prospective RWE Studies: From Few pilots to Mainstream Adoption

## Adopting Best Practices of Risk Based Monitoring and Predictive Analytics for Optimizing Prospective Observational Studies <sup>6</sup>

### Context of Dynamic Risk Based Monitoring in Clinical Trials

Effective onsite monitoring is important to ensure timely SDV\* & SDR\*. Delays in correction of data, capture of missing data, quality issues and deviations leads to delayed milestones.

Traditional Site Monitoring	Dynamic Risk Based Monitoring
Pre-determined and fixed schedule of site monitoring	Implement dynamic monitoring strategies using Predictive Analytics driven by AI/ ML
Static schedule of monitoring frequency	Identify 'high focus' sites
Manual, time intensive process of resource allocation	Eliminate human intervention
Lagging indicators in RBM processes & tools	Intelligent integration of lagging and leading indicators with predictive analytics
Reactive approach	Proactive monitoring

\*SDV - Source Data Verification, \*SDR - Source Data Review

### Efficiencies and Quality Improvement in clinical trials monitoring through predictive analytics and dynamic risk-based quality management

**Strategic Drivers**

- PREDICT**: Time - Predict sites with potential issues using AI/ ML; Proactive actions
- OPTIMIZE**: Cost - Remote Data Driven monitoring; Focused site visits
- MANAGE RISKS**: Quality - Proactively identify and manage issues and risks
- COLLABORATE**: Sponsor of Choice - Improve investigator site experience

**Proven outcomes of adopting predictive analytics and dynamic risk-based quality management**

- Simplified Decisions**: 300+ Clinical Trials, 30% operational efficiency gains
- Predicted Outcomes**: 7 Therapeutic Areas (Onc, Immuno, Resp, CVD, Neuro), 100% elimination of manual allocation
- Pandemic Support**: 20+ Covid 19 studies, Remote monitoring of 200+ studies

Improved cross functional collaboration, quicker decisions resulting in accelerating approval timeline

### Case Study

Global Pharma Redesigns Its Operational Analytics Framework

Challenges	Solution	Benefits
Site/study operations	Data Model	Reduced monitoring cost
Prediction of site/study performance outcomes	Key Risk Indicators	Actionable insights
Data silos and disparity	Predictive Analytics	Reduced workload
Disjoint communication	Actionable Insights	Accelerated approval pathway

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## Proposed Value Creation by Adoption of Best Practices <sup>6</sup>

### Existing Adoption and Regulatory Perspective

	Adoption in Clinical Trials (Phase I, II, III & IV)	Adoption in Prospective RWE Studies	Regulatory Guidance /Mandate
Hybrid & Virtual Decentralized Technology	Significant	Not Significant	Emerging
Risk Based Quality Management	Significant	Not Significant	Mandated (ICH E6R2 - with context to Phase I, II, III & IV trials)

### Proposed Value Outcomes

Activities in Product Development	Value Creation Contribution by Adopting Hybrid & Decentralized & RBM Approach
Complimentary Regulatory Evidence Generation Support	30% upside
Productivity and Cost Savings of Trial/Study	30% reduction
HTA Approvals	20% upside

### References

- Indian Society for Clinical Research Webinar – May 2022
- The Role of RWE in FDA Approvals-eBook by Aetion, 2021
- 4: Clinicaltrials.gov
- Indian Society for Clinical Research Webinar – May 2022
- 6 : TCS Proprietary Research & Case Study