# Real-world outcomes in patients with advanced/metastatic solid tumors who are immuno-oncology-naive and received second-line therapy: analysis by tumor mutational burden status

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### Background

- Tumor mutational burden (TMB) measures the number of mutations within a tumor genome and represents an emerging prognostic and predictive biomarker of response to immuno-oncology (IO) therapy across multiple types of solid tumors<sup>1-5</sup>
- Despite extensive research into a possible role for TMB in patients with cancer receiving IO therapy, there has been limited focus on the predictive/prognostic utility of TMB among patients who are not treated with IO therapy
- In a prior study of patients with metastatic cancers not receiving IO therapy, TMB assessed by next-generation sequencing was not associated with overall survival (OS)1
- Here, we conducted a retrospective observational study to evaluate the predictive impact of TMB on efficacy outcomes among patients who were IO-naïve and who received non-IO therapy in the secondor later-line setting for various advanced or metastatic solid tumors

#### Methods

- This study utilized the US-based, nationwide, de-identified Flatiron Health-Foundation Medicine, Inc. (FH-FMI) multi-tumor clinico-genomic database (CGDB)
- Retrospective longitudinal clinical data were derived from electronic health record data, comprising patient-level structured and unstructured data, curated via technology-enabled abstraction, and were linked to genomic data derived from FMI comprehensive genomic profiling tests in the FH-FMI CGDB by de-identified, deterministic matching<sup>6</sup>
- Data originated from approximately 280 US cancer clinics, representing approximately 800 sites of care
- Study inclusion criteria were
- Diagnosis between January 1, 2011, and November 30, 2020, with 1 of the following advanced or metastatic tumors: colorectal, breast, pancreatic, ovarian, gastric, prostate, endometrial, bladder, lung (small cell), head and neck, or liver (hepatocellular)
- Age ≥ 18 years at the time of diagnosis of advanced or metastatic disease
- IO-naïve and treated with non-IO therapy in the second- and later-line settings
- A known tissue TMB score as determined by the FoundationOne CDx™ assay (Foundation Medicine, Cambridge, MA, USA)
- Patients were excluded if they had insufficient data after their advanced or metastatic cancer diagnosis; another cancer within the previous 2 years; treatment with any IO therapy either before or after the defined study treatment; only blood TMB data available; treatment with second- or later-line therapy for locoregional/nonmetastatic disease or study treatment prior to 14 days before their advanced or metastatic cancer diagnosis; or they were involved in a clinical trial
- The reported outcome measures were progression-free survival (PFS) and OS, estimated from an index date of the start of second-line therapy using Kaplan-Meier methodology
- PFS data were only available for patients with colorectal, breast, gastric, bladder, lung (small cell), or liver (hepatocellular) cancers
- Cox proportional hazards models were used to assess the influence of the following factors on PFS and OS: TMB; age; sex; race; practice type; insurance type; initial tumor, nodes, metastasis (TNM) staging; and Eastern Cooperative Oncology Group performance status (ECOG PS)
- TMB analyses used a cutoff of 10 mutations per Mb
- TMB-high (TMB-H) was defined as ≥ 10 mutations per Mb, TMB-low (TMB-L) as < 10 mutations per Mb

#### Results

## **Patients**

- In total, 7465 patients met the inclusion criteria and were included in the study (**Table 1**)
- Median age was 63 years, 63% were female, and 92% were treated at a community site
- Most patients (72%) had an ECOG PS of 0 or 1
- The most common tumor types were colorectal (34%) and breast (28%), and most patients received index treatment between 2016 and 2020
- Demographic and clinical characteristics were generally balanced between the TMB-H and TMB-L subgroups (**Table 1**)

#### Impact of TMB on PFS and OS

- Among all eligible patients (N = 7465), median PFS (95% confidence interval [CI]) was 5.9 (5.7-6.1) months and median OS (95% CI) was 18.7 (18.1-19.4) months
- When assessed according to TMB status, median PFS and OS were not significantly different between the TMB-H and TMB-L subgroups (Figure 1)
- TMB was not significantly associated with PFS or OS in both univariate (not shown) and adjusted Cox proportional hazards models (Figure 2)
- The adjusted Cox proportional hazards models showed that sex and ECOG PS were significantly associated with both PFS and OS, and initial TNM staging was associated with OS only
- In addition, some race and insurance type subcategories showed significant associations with PFS or OS

Table 1. Baseline demographic and clinical characteristics by TMB status

|   | All patients<br>(N = 7465)              | TMB-H<br>(n = 427) | TMB-L<br>(n = 7038)                 |
|---|---|--------------------|-------------------------------------|
| Age, median (range), years <sup>a</sup>       | 63 (19-85)                              | 64 (24-85)         | 63 (19-85)                          |
| Sex, n (%)                                    |   |                    | _                                   |
| Male  | 2785 (37)                               | 139 (33)           | 2646 (38)                           |
| Female  | 4679 (63)                               | 288 (67)           | 4391 (62)                           |
| Missing                                       | 1 (< 1)                                 | 0                  | 1 (< 1)                             |
| Race, n (%)                                   |   |                    | 4000 (40)                           |
| White   | 5092 (68)                               | 284 (67)           | 4808 (68)                           |
| Black or African American                     | 588 (8)                                 | 33 (8)             | 555 (8)                             |
| Asian   | 188 (3)                                 | 13 (3)             | 175 (2)                             |
| Other <sup>b</sup>                            | 1162 (16)                               | 67 (16)            | 1095 (16)                           |
| Missing                                       | 435 (6)                                 | 30 (7)             | 405 (6)                             |
| Practice type, n (%)                          | F0.4 (0)                                | 20 (7)             | FF4 (0)                             |
| Academic institution                          | 584 (8)                                 | 30 (7)             | 554 (8)                             |
| Community site                                | 6881 (92)                               | 397 (93)           | 6484 (92)                           |
| Insurance type, n (%)                         | 2200 (45)                               | 105 (42)           | 2204 (44)                           |
| Commercial health plan                        | 3389 (45)                               | 185 (43)           | 3204 (46)                           |
| Medicare                                      | 1745 (23)                               | 107 (25)           | 1638 (23)                           |
| Medicaid Other government program             | 176 (2)                                 | 7 (2)              | 169 (2)                             |
| Other government program                      | 169 (2)                                 | 7 (2)              | 162 (2)                             |
| Other payer <sup>c</sup>                      | 1500 (20)<br>351 (5)                    | 79 (19)            | 1421 (20)                           |
| Patient assistance program                    | 52 (< 1)                                | 20 (5)             | 331 (5)<br>48 (< 1)                 |
| Self-pay<br>Missing                           | 1545 (21)                               | 4 (< 1)<br>94 (22) | 48 (< 1)<br>1451 (21)               |
|   | , ,                                     | , ,                | ()                                  |
| ECOG PS, n (%) <sup>a</sup><br>0-1            | 5373 (72)                               | 284 (67)           | 5089 (72)                           |
| ≥ 2   | 765 (10)                                | 63 (15)            | 702 (10)                            |
| Missing                                       | 1327 (18)                               | 80 (19)            | 1247 (18)                           |
|   | , | ()                 | (,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| Tumor type, n (%) Colorectal                  | 2569 (34)                               | 116 (27)           | 2453 (35)                           |
| Breast  | 2120 (28)                               | 160 (37)           | 1960 (28)                           |
| Pancreatic                                    | 759 (10)                                | 6 (1)              | 753 (11)                            |
| Ovarian                                       | 669 (9)                                 | 15 (4)             | 654 (9)                             |
| Gastric                                       | 478 (6)                                 | 43 (10)            | 435 (6)                             |
| Prostate                                      | 445 (6)                                 | 16 (4)             | 429 (6)                             |
| Endometrial                                   | 238 (3)                                 | 22 (5)             | 216 (3)                             |
| Bladder                                       | 82 (1)                                  | 27 (6)             | 55 (< 1)                            |
| Lung (small cell)                             | 59 (< 1)                                | 17 (4)             | 42 (< 1)                            |
| Head and neck                                 | 31 (< 1)                                | 4 (< 1)            | 27 (< 1)                            |
| Liver (hepatocellular)                        | 15 (< 1)                                | 1 (< 1)            | 14 (< 1)                            |
| Year of index treatment date, n (%)           |   |                    |                                     |
| 2011  | 26 (< 1)                                | 4 (< 1)            | 22 (< 1)                            |
| 2012  | 106 (1)                                 | 8 (2)              | 98 (1)                              |
| 2013  | 264 (4)                                 | 25 (6)             | 239 (3)                             |
| 2014  | 513 (7)                                 | 41 (10)            | 472 (7)                             |
| 2015  | 703 (9)                                 | 43 (10)            | 660 (9)                             |
| 2016  | 902 (12)                                | 58 (14)            | 844 (12)                            |
| 2017  | 1081 (14)                               | 59 (14)            | 1022 (15)                           |
| 2018  | 1234 (17)                               | 53 (12)            | 1181 (17)                           |
| 2019  | 1303 (17)                               | 65 (15)            | 1238 (18)                           |
| 2020  | 1333 (18)                               | 71 (17)            | 1262 (18)                           |
| Disease stage, n (%)d                         | _                                       |                    | _                                   |
| 0   | 2 (< 1)                                 | 0                  | 2 (< 1)                             |
|   | 437 (6)                                 | 24 (6)             | 413 (6)                             |
|   | 1091 (15)                               | 72 (17)            | 1019 (14)                           |
|   | 1585 (21)                               | 90 (21)            | 1495 (21)                           |
| IV  | 3913 (52)                               | 203 (48)           | 3710 (53)                           |
| Missing                                       | 437 (6)                                 | 38 (9)             | 399 (6)                             |
| Median (range) follow-up, months <sup>e</sup> | 12 (0-113)                              | 11 (0-113)         | 12 (0-111)                          |
| Median (SD) TMB, mutations/Mb                 | 2.6 (8.8)                               | 13.8 (29.7)        | 2.6 (2.3)                           |

aAge and ECOG PS determined at index treatment date, defined as the start of second-line therapy. Includes Hispanic/ Latino and other racial minorities, such as Native American. clncludes "type unknown" and "workers compensation." dAt initial diagnosis. eFollow-up calculated from index treatment date, defined as the start of second-line therapy; for patients who died, follow-up end date was the death date; for patients without evidence of death, follow-up end date was the last clinical activity date. SD, standard deviation.

Figure 1. Survival outcomes by TMB status

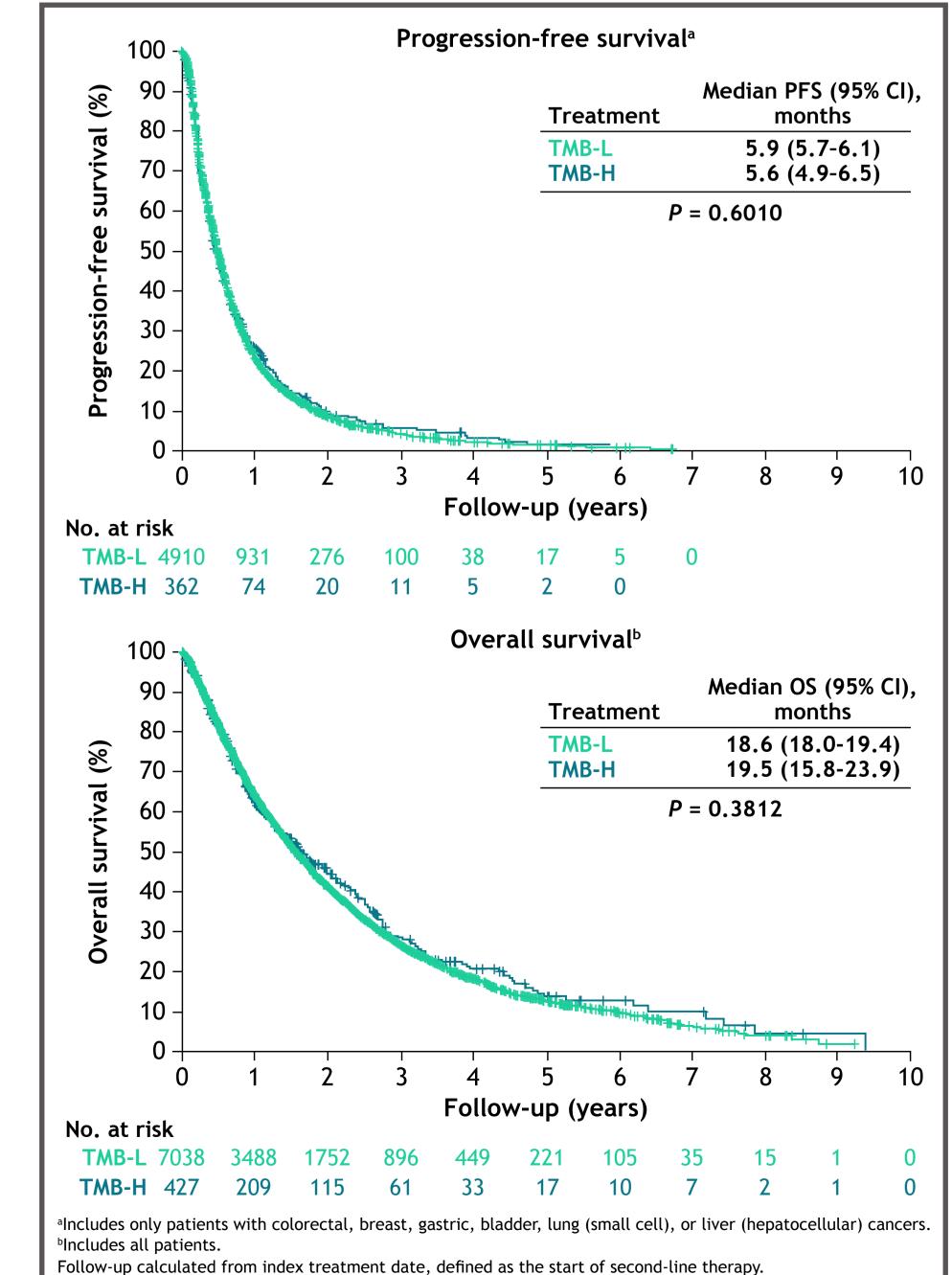
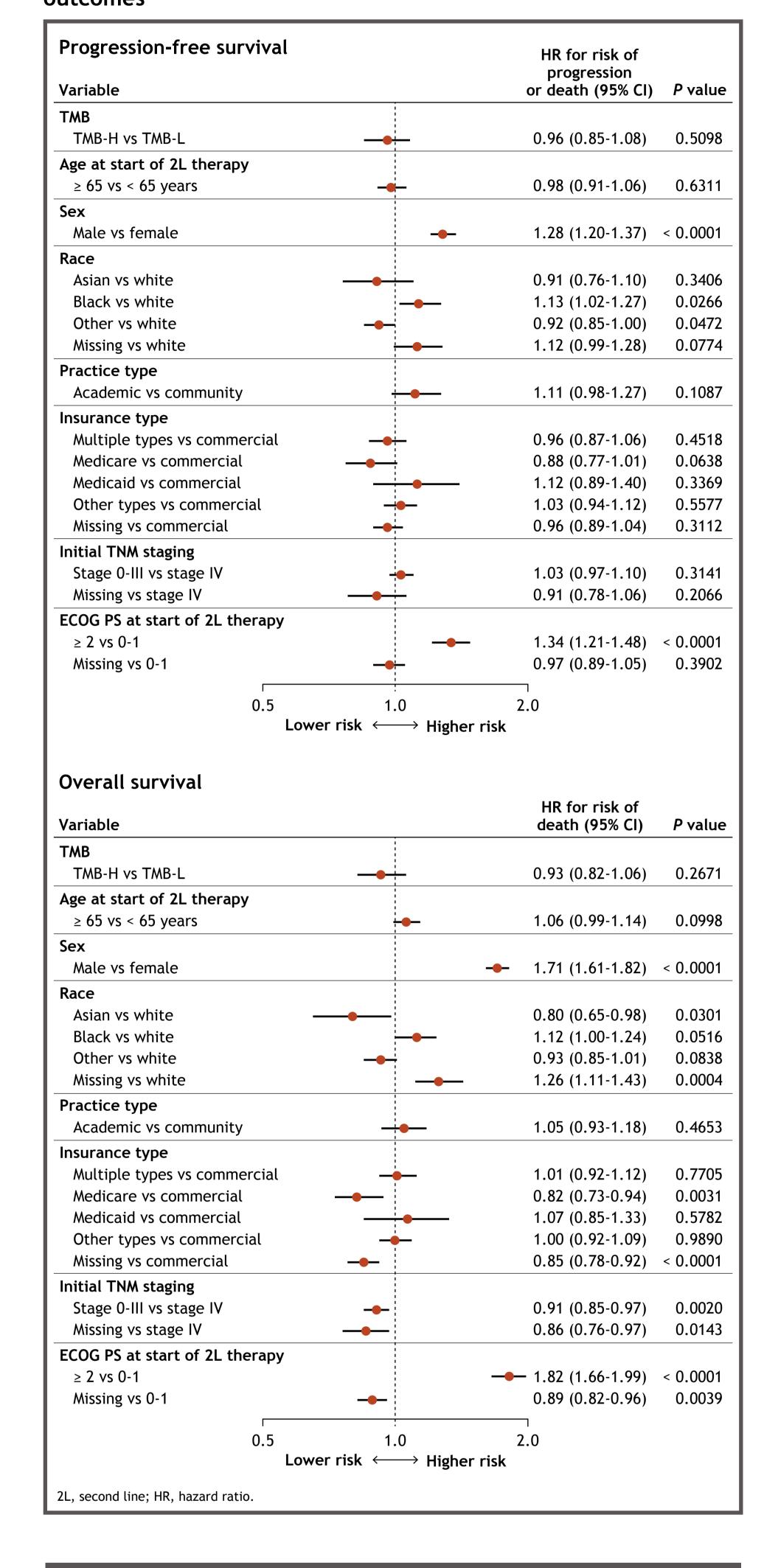


Figure 2. Association of TMB and other variables with survival outcomes



#### Limitations

- Limitations include the retrospective nature of the analysis, the potential for missing data or errors in data entry, variation in followup time, and no consistent assessment of disease progression
- The results may also have been influenced by the relative proportion of patients with certain tumor types (the population included an overrepresentation of patients with colorectal and breast cancers [> 60%]), as well as utilization of the 10 mutations per Mb TMB cutoff

## Conclusions

- Results from this large-scale, real-world analysis suggest that TMB is not a generalizable predictive biomarker for patients receiving non-IO therapy for various advanced or metastatic solid tumors
- Additional analyses will be required to investigate possible alternative TMB cutoff values across patients with various solid tumor types receiving non-IO therapy

#### References

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