ontada

Risk of Hospitalization and Emergency Room Visits Among Community Oncology Patients

Presenter: Gayathri Namasivayam

Authors: Namasivayam G, Rahman MM, Mohammad N, Chang B, Karhade M, Robert N, Wu N, Heller B, Hoang S, Alwardt S, Neubauer M, Staggs S, Moore L, Smith H

November 2nd, 2021

ontada | © 2020 Confidential and proprietary. Transforming the fight against cancer.

Objective

• Explainable machine learning model to predict patient risk of emergency room (ER) visit and unplanned hospitalization within 30 days following a community oncology practice visit

Purpose

- Reduce unplanned hospitalization or ER visits
- Reduce overall cost of healthcare
- Improve Oncology Care Model (OCM) ER visit score
- Provide insights in patient risk



Patient Population & Demographics

Data was collected from US Oncology Network (USON) practices enrolled in the Oncology Care Model (OCM) program starting from July 1, 2016 to June 30, 2020 (Performance Periods 1-8)

Data Sources

- *iKnowMed* Electronic Health Records (EHR)
- OCM claims data

Inclusion/Exclusion Criteria

- Patients over the age of 65
- Patient with a cancer diagnosis
- Patients enrolled in the OCM program (on active cancer therapy)

Outcome

• Unplanned hospitalization/ER visit







Clinical Features

More than 300 features extracted

| Labs | Duration of disease | ECOG Performance Status | Co-morbidities |
|------------------|---------------------|----------------------------|-----------------------|
| Vitals | Pain | Weight loss percent | Cachexia |
| Demographics | Drugs | Treatment | Tumor Staging |
| Visit statistics | Metastatic disease | Cancer diagnosis | Derived features |

Methodology: Data collection



Methodology: Data transformation



Extraction of a record, with current and historical data, for a unique combination of patient and visit date

| Patient ID | 1234 |
|--|--------------|
| Visit date | 10/15/2021 |
| Last Albumin in past 30 days | 2.8 |
| Last Hemoglobin in past 30 days | 8.0 |
| Weight loss percent | 4% |
| Last Pulse in past 30 days | 100 |
| Metastatic | True (1) |
| | |
| Treatment in last 30 days | Chemotherapy |
| Hospitalization/ER visit in next 30 days | Yes |

Methodology: Model Building, Evaluation & Explainability

| Patient Id | Visit Date | Lab: Albumin in last 30 days | Lab: Hemoglobin in last 30 days | | Hospitalized/ ER visit |
|------------|----------------|---------------------------------------|---------------------------------------|------|---------------------------|
| 1023 | 9/01/2 016 | | | | No |
| 1134 | 10/20/ 2016 | 3.6 | 12.0 | | No |
| | | | Training Se | t: a | 87% |
| 2342 | 9/19/ | 3 | 10 | | Yes |
| 2021 | | | Testing Se | t: ' | 13% |
| | | | | | |
| 1234 | 10/15 | 3.5 | 120 | | No |



| Probability of hospitalization/ER visit | Risk Bucket | Distribution of patients (%) |
|---|-----------------|------------------------------|
| 0 – 0.59 | Lowest risk | 81.65% |
| 0.60 - 0.69 | Risk category 1 | 5% |
| 0.70 - 0.79 | Risk category 2 | 8.06% |
| 0.80 - 0.89 | Risk category 3 | 4.74% |
| ≥ 0.90 | Risk category 4 | 0.55% |

Probability of hospitalization/ER visit: 0.8

| | 0.0 | 0.2 | 0.4 | 0.6 | 0.8 1 | .0 |
|---------------------------------|-----------------|-----|---------|------------|-------|---------------|
| PAINSCALE_NUM | ЛМ | | | (9) | | |
| LABS_ALBUMIN_LAST30 |) | | | (2.8) | | |
| LABS_HEMOGLOBIN_LAST30 |) | | | (10.2) | | |
| LABS_PLATELETS_LAST30 |) | | | / (39) | | |
| NUM_VISITS30 |) | | | (4) | | |
| VITALS_PULSE_LAST30 |) | | | (99) | | |
| WT_PCTCHANGE_FRM_1MC |) | | | j (-2.609) | | |
| LABS_BILIRUBIN_LAST30 |) | | | (0.4) | | |
| LABS_NEUTROPHILSABS_LAST30 |) | | | (nan) | | |
| WT_PCTCHANGE_FRM_3MC |) | | | (-0.198) | | |
| MAX_STG | 3 | | | (nan) | | |
| LABS_POTASSIUM_ABNORMAL | - | | | (1) | | |
| PRIMARY_DIAGNOSIS_Breast Cancer | r | | | (0) | | |
| LABS_WBC_LAST30 |) | | | (0.1) | | ł |
| NUM_CHEMO |) | | | (6) | | ł |
| LABS_BUN_LAST30 | LABS_BUN_LAST30 | | | (14) | | |
| DAYS_SINCE_FIRST_CHEMO | | | (1,059) | | | |
| LABS_GLUCOSE_LAST30 |) | | | (146) | | |
| LABS_CREATININE_LAST30 |) | | | (0.8) | SHAF | > * |
| LABS LYMPHOCYTESPCT LAST30 |) | | | (35) | | |

*SHapley Additive exPlanations

Results: Model Performance and Feature Ranking

Predicting 30 days in advance, no restrictions on cancer type/staging or treatment

| Model | | |
|---------------------------|------------------------------|---------|
| Algorithm | XGBoost* | e |
| Area Under Curve (AUC) | 72% | Variabl |
| Sensitivity (Recall) | 73% (Hospitalization/ER) | |
| Specificity | 60% (Non-Hospitalization/ER) | |
| Threshold | 50% | |

*eXtreme Gradient Boosting algorithm



Ontada © 2020 Confidential and proprietary. Transforming the fight against cancer.

Limitations

- The model can only be applied to patients greater than 65 years of age
- OCM claims data is updated every 6 month, thus permitting retraining only every 6 months
- Unable to use previous hospital admissions or ER visits information during the model building phase as it would be an important factor in predicting future hospitalizations

Conclusion

- Real-world data were harnessed and applied in an ML approach to establish a high-performing patient ER visit and hospitalization prediction model
- The next phase will include model deployment to several US Oncology Network practices to validate effectiveness in the real world
- Results will inform providers when a patient is at risk for an ER visit or hospitalization with the aim of improving the overall quality of oncology care and reducing admissions and ER visits

Acknowledgement

Thanks to the following members for their many discussions and support.

Co-authors:

Rahman MM, Mohammad N, Chang B, Karhade M, Robert N, Wu N, Heller B, Hoang S, Alwardt S, Neubauer M, Staggs S, Moore L, Smith H

Data Science Team:

Harrell R, Dwyer K, Kwon A, Crabtree M, Raju A

Engineering Team:

Crabtree C, Komara J

Product Team:

Ali R, McClendon E

USON Team:

Plagianis JD, Payne J, Ives H

ontada

Thank You

ontada

Backup slides

Definitions

- Weight loss percent:
 - Weight loss percentage of the current from the previous maximum weight in the last 1 month
- Cachexia:
 - No cachexia: weight change (± 1 kg) or weight gain
 - − Pre-cachexia: weight loss \ge 2%, but < 5%
 - Cachexia: weight loss > 5% the last 1 month, OR weight loss > 2% the last 1 month + BMI < 20 kg/m²
 - Refractory cachexia: weight loss > 15% last 1 month + BMI < 23 kg/m² OR weight loss > 20% last 1 month + BMI < 27 kg/m²
- Derived features:
 - Engineered features from labs and vitals (e.g. the maximum value of a lab minus the minimum value during a time period, abnormal lab/vital values)
- ECOG
 - Measures the daily ability of the patient to care for themselves.

Methodology: Building an explainable predictive model for unplanned hospitalization



Explainability

80% Probability of Hospitalization or ER Visit

- Explainability of Model:
 - Library: SHAP (SHapley Additive exPlanations)
 - Explanation: Top attributes for each individual hospitalization prediction

| Probability of hospitalization/ ER visit | Risk Bucket | Distribution of patients (%) |
|--|-----------------|------------------------------|
| 0 – 0.59 | Lowest risk | 81.65% |
| 0.60 - 0.69 | Risk category 1 | 5% |
| 0.70 - 0.79 | Risk category 2 | 8.06% |
| 0.80 - 0.89 | Risk category 3 | 4.74% |
| ≥ 0.90 | Risk category 4 | 0.55% |

| | 0.0 | 0.2 | 0.4 | 0.6 | 0.8 | 1.0 |
|---------------------------------|-----|-----|-----------------|--------------------|-------|-----|
| PAINSCALE_NUM | | | | | (9) | |
| LABS_ALBUMIN_LAST30 | | | | | (2.8) | |
| LABS_HEMOGLOBIN_LAST30 | | | | / (10 | 2) | |
| LABS_PLATELETS_LAST30 | | | | / (39) | | |
| NUM_VISITS30 | | | | (4) | | |
| VITALS_PULSE_LAST30 | | | | (99) | | |
| WT_PCTCHANGE_FRM_1MO | | | | (-2.609) | | |
| LABS_BILIRUBIN_LAST30 | | | | (0.4) | | |
| LABS_NEUTROPHILSABS_LAST30 | | | | (nan) | | |
| WT_PCTCHANGE_FRM_3MO | | | | (-0.198) | | |
| MAX_STG | | | | (nan) | | |
| LABS_POTASSIUM_ABNORMAL | | | | (1) | | |
| PRIMARY_DIAGNOSIS_Breast Cancer | | | | (0) | | |
| LABS_WBC_LAST30 | | | | (0.1) | | |
| NUM_CHEMO | | | | (6) | | |
| LABS_BUN_LAST30 | | | | (14) | | |
| DAYS_SINCE_FIRST_CHEMO | | | | (1,059) | | |
| LABS_GLUCOSE_LAST30 | | | | (146) | | |
| LABS_CREATININE_LAST30 | | | | (0.8) | | |
| LABS_LYMPHOCYTESPCT_LAST30 | | | | (35) | | |
| | 0.0 | 0.2 | 0.4 Model ou | 0.6 Itput value | 0.8 | 1.0 |