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Conflict of Interest Statement: Michael Schlichting

I have the following financial relationships to disclose

- Employee of Merck KGaA, Darmstadt, Germany
- Holding shares of Merck KGaA, Darmstadt, Germany

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How Will New Guidance Impact the Statistical Analyses and Interpretation for Patient-Reported Outcomes (PRO) in the Context of HA and HTA Submissions

A sponsor perspective

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Outline: Biostatistical reflection

High variability in the analyses and presentations of PRO data for regulatory & HTA submissions



Sources and types of variability



How new guidance impact PRO analysis



Conclusion / Outlook

ICH E9 (R1) addendum – estimands
SISAQOL recommendations
IQWiG Methods Paper
Version 6 (draft) – re: MID

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PRO Analysis: Sources and types of variability



Some perceptions

- Protocols less precise on PRO study objectives and PRO endpoints.
- PRO analysis results rarely impact USPI or SmPC, but part of the value framework in HTA in general.



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Are PRO Analysis inefficiently performed?



Complexity of PROs



Research questions

Differences between groups
Within groups
Within patient changes



Exploration in various populations



Sensitivity analysis

Missing data
Intercurrent events

Example

~ 30 endpoints

*

~ 3 outcome measures

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~ 20 subgroups, populations etc

=

~ 1800 analyses

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Adopt Estimand Framework

Appropriate statistical analysis methods require concrete research question considering the estimand framework⁽¹⁾



17 February 2020
EMA/CHMP/ICH/436221/2017
Committee for Medicinal Products for Human Use

ICH E9 (R1) addendum on estimands and sensitivity analysis in clinical trials to the guideline on statistical principles for clinical trials

PRO
Research
Objective

Estimand

- Target Study Population
- Endpoint of Interest
- Treatment
- Population level summary
- Intercurrent Events

Statistical
Analysis
Plan

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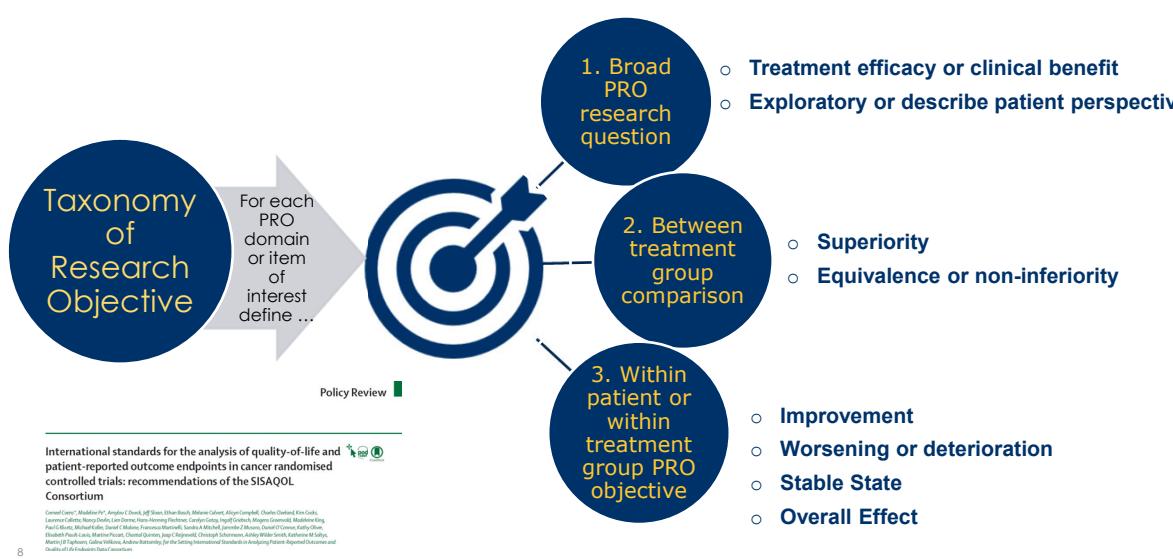
How scientific questions vary by stakeholder

Stakeholders	PRO Research Question	Estimand Strategy
Regulators	How does the treatment impact QoL while the patient takes it as prescribed?	Hypothetical Treatment policy While-on-treatment
HTA	How will a patient respond in terms of symptoms, functioning, health state given the initial randomized decision to treat?	Treatment policy
Patients	What will happen to me if I start this treatment, stop this treatment or if I don't start treatment at all?	Treatment policy While-on-treatment
Sponsors	How does the treatment work if randomized treatment is taken as directed by the study protocol?	Hypothetical

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SISAQOL recommendations⁽²⁾ ...



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Summary: Variations of PRO Analysis in Oncology Studies

Estimand Framework and SISAQOL recommendations are essential to clarify

- Stakeholder objectives
- Well defined selection of endpoints
- Appropriate choice of outcome measures
- Mapping key outcome measures with appropriate statistical methods
- Approaches to deal with intercurrent events and missing data



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Policy Review

International standards for the analysis of quality-of-life and patient-reported outcome endpoints in cancer randomised controlled trials: recommendations of the SISAQOL Consortium

Cornel Coens*, Madeline Pe*, Amphyra C Dousky, Jeff Stoen, Ellen Busch, Melanie Galvart, Alwyn Campbell, Charles Chiodini, Kim Cocks, Laurence Collette, Natasja Dennerlein, Daniel Dierckx, Ingrid Eick, Constance Ingelrest, Mark Modisavljevic, Michaela Olszak, Pauline Pichot, David Pocock, Daniel C Malone, Francesco Moretti, Barbara A Micallef, Barbara J. Morris, Daniel O'Gorman, Dorothy Wiles, Elisabeth Pauli-Lötsch, Mariana Piccart, Chantal Quinten, Jop C. Rijneveld, Christoph Schirmer, Ashley Wilder-Smith, Katherine M. Sotter, Martyn J.B. Tapiohoen, Galina Volkova, Andrew Rattenbury, for the Setting International Standards in Analysing Patient-Reported Outcomes and Quality of Life Endpoints Data Consortium

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High variability in regulatory decision making

Review of prescribing information with variations in terms of handling of

- Endpoints
- Outcome measures
- Multiplicity issue
- Open-label trials

	Drug A	Drug B
EMA SMPC	<ul style="list-style-type: none"> - Significant improvement in global QOL - change from baseline score - Symptom benefits by significantly prolonging TTD (symptom x,y,z) - Hochberg-adjusted log-rank 2-sided p-value 	Not granted
FDA USPI	<ul style="list-style-type: none"> - Exploratory: delay in time to worsening of symptom x, but not y or z - Overestimation, because patients were not blinded to treatment assignment 	Not granted

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High variability in HTA decision making

Selected example of HTA submission with variations in

- Endpoints
- Outcome measures
- Multiplicity issue
- Completeness / missingness of data
- Open-label trials
- **MCID / MID validation ?**

	Drug A	Drug B
HTA Germany (IQWiG; G-BA)	Statistically significant effect for symptom a,b,c, ... (morbidity) and functional scores (QoL)	<ul style="list-style-type: none"> • Statistically significant difference for certain endpoints; • IQWiG: PRO outcomes should be recorded over the total period of time similar to survival analysis. • Responder analysis not used as MID validation study is unsuitable • G-BA accepted responder analyses as used in earlier evaluations.
HTA France (HAS)	Analysis are unable to support any reliable conclusions (open label study)	<p>Results are not conclusive:</p> <ul style="list-style-type: none"> - No multiplicity adjustment - MCID not discussed a-priori - Missing data

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How new guidelines will impact PRO analysis and interpretation: The MID case



Established MID (minimal important difference) for EORTC QLQ-C30

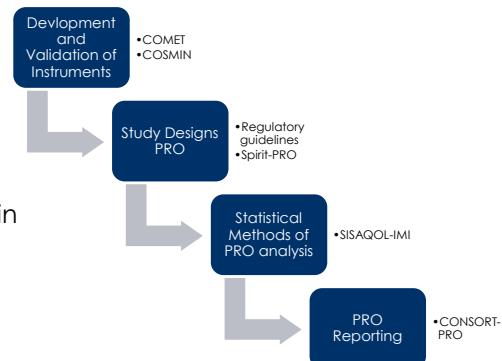
- EMA, FDA suggest anchor based MIDs. In general MID of 10 score-points⁽³⁾ currently accepted.
- Challenged by HTA agencies: IQWiG Methods Paper Version 6 (draft)⁽⁴⁾ suggests a new threshold of 15% of scale width.
- EORTC research⁽⁵⁾ will provide MIDs by cancer indication with variations by scale, direction of change, and anchor.

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Conclusions / Summary / Outlook

- Estimand framework and SISAQOL recommendations provide a common ground for analysis and interpretation of PRO data.
- Local guidances may simplify analysis, but complexify interpretation of PROs.
- Divergent approaches of minimally and/or clinically relevant differences by stakeholders will add variability in PRO analyses and interpretation.
- SISAQOL-IMI consortium, FDA-ASCO PFDD workshops,... will further impact PRO analysis and interpretation.



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https://www.ema.europa.eu/en/documents/other/appendix-2-guideline-evaluation-anticancer-medicinal-products-man_en.pdf
- (2) Coens et al, International standards for the analysis of quality-of-life and patient-reported outcome endpoints in cancer randomised controlled trials: recommendations of the SISAQOL Consortium, Lancet Oncology, VOLUME 21, ISSUE 2, E83-E96, FEBRUARY 01, 2020
[https://www.thelancet.com/journals/lanonc/article/PIIS1470-2045\(19\)30790-9/fulltext](https://www.thelancet.com/journals/lanonc/article/PIIS1470-2045(19)30790-9/fulltext)
- (3) Osoba D, et al, Interpreting the significance of changes in health-related quality-of-life scores. J Clin Oncol. 1998;16:139-44
- (4) IQWiG Method paper Version 6 (draft): <https://www.iawig.de/en/methods/methods-paper.3020.html>
- (5) Musoro et al on Behalf of the EORTC Quality of Life Group: Establishing anchor-based minimally important differences (MID) with the EORTC quality-of-life measures: Meta Analysis protocol; BMJ Open 2018;8:e019117. doi: 10.1136/bmjopen-2017-019117; Recent anchor based updates by Musoro et al (on behalf of EORTC) for advanced breast cancer doi: 10.1093/jncics/pkz037, advanced colorectal cancer DOI: 10.1111/cod.15295, head&neck cancer <https://doi.org/10.1002/hed.26363>

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