



# Real world evidence in China

— Current practices, challenges, strategies and developments

Xin Sun, PhD, Professor  
ISPOR West China Chapter  
Chinese Evidence-based Medicine Center  
West China Hospital, Sichuan University, China



## Outlines

1. Short history and current practices
2. Challenges in the production and use of RWE
3. Proposed strategies for developing a RWE ecosystem
4. Current development with special reference to regulatory decisions

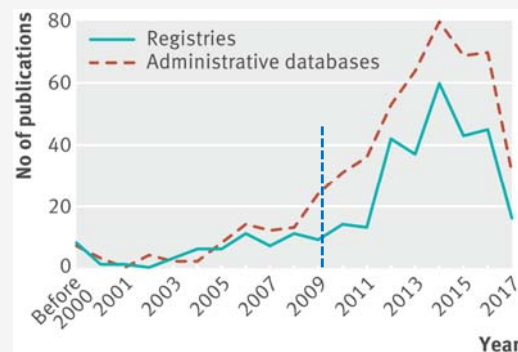
## A short history of RWE in China

- **2000 and on: early efforts on outcomes research and big data**
  - Early development synergized with the promotion of outcomes research by ISPOR
  - Active roles of multi-nation Pharma, primarily used for post-approval clinical assessment and marketing access
  - The China's big data initiative further boosted the development
- **2010: official introduction of RWE concept**
  - The concept first introduced by researchers from traditional Chinese medicine
- **2012: parallel efforts on CER**
  - Professional societies (e.g. EBM subcommittee of the Chinese Medical Doctor Association) introduced the comparative effectiveness research concept
- **2016 and on: systematic introduction of RWE framework**
  - The Chinese Evidence-based Medicine Center and ISPOR West China Chapter officially and systematically introduced the concept and methodology across the country

## Production of RWE rapidly increased over the past years in China

Sun X\* et al. *BMJ*. 2018;360:j5262

Retrospective database studies and registry studies rapidly increased after 2009



cancer and vascular diseases staying on the top

\* Searched up to June 2007

Most pragmatic trials tested traditional Chinese medicine

Reference	Study setting	Participants
Feng et al. (2007) <sup>1</sup>	Schools in Chengdu	305 children with at least one visible white-spot lesion
Wei et al. (2010) <sup>2</sup>	A general hospital in Henan Province	176 patients with myelodysplastic syndrome
Yu et al. (2010) <sup>3</sup>	General and TCM hospitals in Beijing, Tianjin, Henan, Hebei and Shandong	300 inpatients with ischemic stroke
Tian et al. (2011) <sup>4</sup>	General and infectious disease hospitals across China	153 HIV/AIDS patients with chronic diarrhoea
Ye et al. (2011) <sup>5</sup>	Twelve hospitals across China	700 inpatients with acute ischemic stroke
Li et al. (2012) <sup>6</sup>	Henan Province	50 HIV/AIDS patients who were receiving highly active anti-retroviral therapy
Li et al. (2014) <sup>7</sup>	Hospitals in Shanghai	127 patients with chronic kidney disease
Dong et al. (2014) <sup>8</sup>	Schools in Chengdu	177 school children with at least one visible white-spot lesion
Liu et al. (2015) <sup>9</sup>	Rural counties and urban districts from Heilongjiang, Jiangsu, Hunan and Chongqing	4,292 outpatients with new pulmonary tuberculosis (TB)
Browning et al. (2016) <sup>10</sup>	Community Health Stations in Fengtai district, Beijing	669 patients with type 2 diabetes
Fu et al. (2016) <sup>11</sup>	General hospitals in Beijing and Henan	260 inpatients with ischemic stroke
Li et al. (2016) <sup>12</sup>	A pancreas centre of a general hospital in Chengdu	140 inpatients with severe acute pancreatitis accompanied with paralytic ileus
Chien et al. (2017) <sup>13</sup>	Psychiatric out-patient clinics in mainland China, Hong Kong and Taiwan	342 patients with schizophrenia spectrum disorders
Wei et al. (2017) <sup>14</sup>	Township hospitals in central Zhejiang province	28,130 patients at high risk of cardiovascular disease (CVD)
Wu et al. (2017) <sup>15</sup>	Endocrinology and Acupuncture out-patient clinics of a general hospital in Beijing	369 male smokers
Yang et al. (2017) <sup>16</sup>	Campus advertisements from several universities in Chengdu	152 patients with primary dysmenorrhoea

## Increasing use of RWE for healthcare and policy decisions in China

Authorities	Major uses	Relative importance of RWE for decision making
Chinese Drug Administration	Post-approval decisions	<ul style="list-style-type: none"> <li>Post-approval drug assessment and safety surveillance +++++</li> <li>Label changes and new indication assessment +</li> </ul>
	Pre-approval decisions	<ul style="list-style-type: none"> <li>Supporting evidence for investigational new drug approval (e.g. disease burden and practice pattern) +++</li> </ul>
Medical Security Bureau	Drug coverage decisions	<ul style="list-style-type: none"> <li>Parameters for Cost-effectiveness analysis                             <ul style="list-style-type: none"> <li>Cost of illness and disease burden +++++</li> <li>Clinical outcomes and epidemiological data +++</li> <li>Health-related quality of life and utility +</li> </ul> </li> </ul>
		<ul style="list-style-type: none"> <li>Parameters for budget impact analysis +++++</li> </ul>
National Health Commission	Health technology assessment	<ul style="list-style-type: none"> <li>Clinical outcomes for emerging technologies +++++</li> <li>Cost assessment +++++</li> </ul>
	Healthcare quality and safety surveillance	<ul style="list-style-type: none"> <li>Measurement of healthcare quality indicators +++++</li> <li>Safety monitoring and assessment +++++</li> </ul>
Healthcare providers	Clinical practice guidelines	<ul style="list-style-type: none"> <li>Disease burden assessment +++++</li> <li>Clinical assessment if classical trials not available +++++</li> <li>Safety assessment of treatments +++++</li> </ul>

## Diversified real world data sources in China

Typical data sources	Examples	Strengths	Limitations
Regional electronic health records	<ul style="list-style-type: none"> <li>Xiamen municipal city regional EHR</li> <li>Yinzhou district regional EHR</li> <li>Fuzhou municipal city regional EHR</li> </ul>	Most comprehensive data; may develop longitudinal follow up	Data may not be accessible
Electronic medical records from single care institutions	<ul style="list-style-type: none"> <li>West China Hospital, Sichuan University</li> </ul>	Well documented clinical data, particularly during hospitalization	Lack of follow up data; incomplete outpatient data
Disease registries	<ul style="list-style-type: none"> <li>National cancer registry</li> <li>Bianque chest pain registry</li> </ul>	Data collected in a structured manner, often comprehensive	May not be accessible
Claims databases	<ul style="list-style-type: none"> <li>National claims database</li> <li>Chengdu municipal city regional claims database</li> </ul>	Good for cost analysis	Lack of clinical and lab data

## Chinese Evidence-based Medicine Center and ISPOR West China Chapter move forward China's RWE initiative

- ▶ **2013**
  - Initiated the RWE initiative
- ▶ **2014**
  - ISPOR West China Chapter: focus on the production and use of RWE to support regulatory and coverage/payment decisions
- ▶ **2015**
  - National grants to support the methodology development for drug safety surveillance using real world data
- ▶ **2016**
  - Chinese FDA funded the assessment of antibiotics safety using EMR
  - First national methodology workshop on real world studies
- ▶ **2017**
  - Forum on Real World Evidence and Healthcare Practice and Policy
  - China Real World Evidence Alliance (ChinaREAL) established
- ▶ **2018**
  - Invited analysis paper on real world evidence in China
  - The First Congress on Real World Data and Studies



## Challenges: RWE development still at early stage in China

Real world evidence: experience and lessons from China  
 Sun X\* et al. *BMJ*. 2018;360:j5262

Misconduct and misinterpretations are common

Types of misunderstanding	Examples
Real world data (RWD)	<ul style="list-style-type: none"> <li>• RWD are another word for big data</li> <li>• RWD are allowed for low quality given its nature</li> </ul>
Real world studies (RWS)	<ul style="list-style-type: none"> <li>• RWS are universally observational</li> <li>• RWS are typically cheap</li> <li>• RWS have no control group</li> <li>• RWS have no quality control</li> <li>• RWS should not set up restrictions to patient inclusion</li> <li>• RWS do not need ethical review</li> <li>• Informed consent is not needed for any type of real world studies</li> </ul>
Real world evidence (RWE)	<ul style="list-style-type: none"> <li>• RWE less trustworthy than classical trials</li> <li>• RWE better than classical trials in their findings</li> <li>• RWE applicable only to drug assessment</li> <li>• RWE of low quality</li> </ul>

## Lack of coordination and inadequate research capacity

### Lack of coordination at the national level

- Limited collaboration between organizations
- Insufficient interactions between research organizations and RWE users

### Absence of authority technical guidance

- No research guidance applicable to the Chinese setting
- No standards for data acquisition, processing, and quality

### Insufficient research capacity

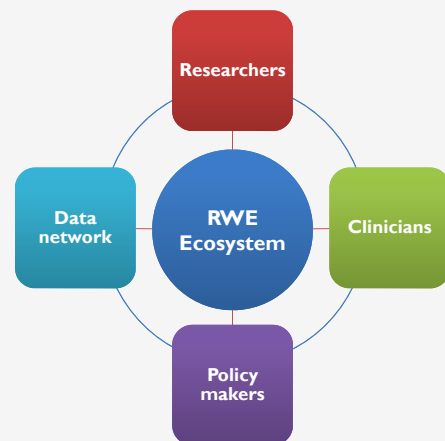
- Small number of research groups with expertise in data application
- Very small number of higher education programs

## China REal World Data and Study ALliance: ChinaREAL

### Missions and goals

- Develop an RWE ecosystem for China
- Advocate for the quality production and understanding of RWE
- Focus on medical products and disease management
- Liaise with multiple stakeholders to promote the use of RWE for healthcare and policy decisions

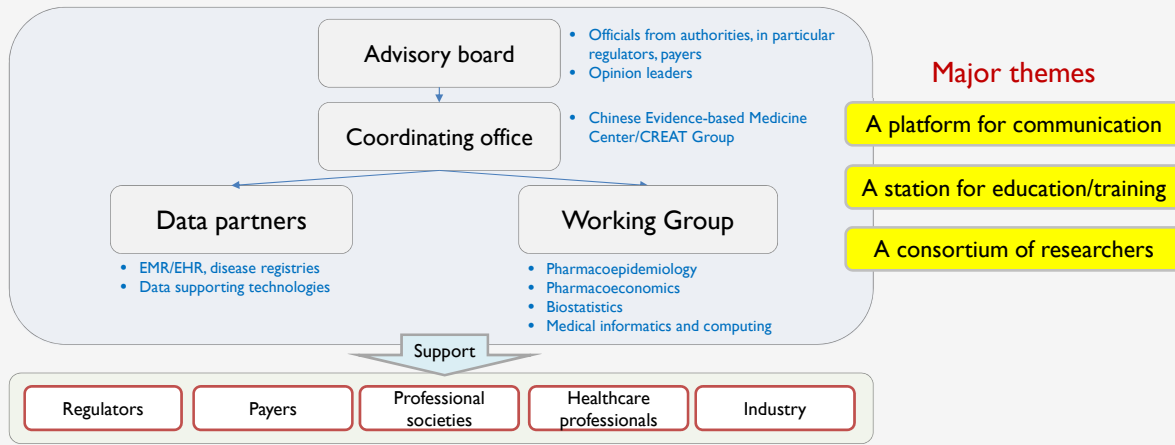
Co-organized by the Chinese Evidence-based Medicine Center,  
ISPOR West China Chapter, and CREAT Group



## ChinaREAL: a network of collaboration

Involve multiple stakeholders

- **Officials from authorities:** State Drug Administration, Medical Security Bureau, National Health Commission
- **Opinion leaders and leading scientists:** Epidemiology, biostatistics, pharmacoconomics, health informatics
- **Data partners:** regional EHR, hospital EMR, disease registries, claims database
- **Industrial collaborators:** HEOR, pharmacoepidemiology, medical affairs



## China's First Congress on Real World Data and Studies

June 21-23, 2018 Chengdu, China

- Explore the value of real world evidence for regulatory and coverage decisions
- Over 700 participants across the country
  - International experts from ISPOR (Marc Berger), The BMJ, and University of Oxford
  - Experts from national authorities (Chinese Drug Administration, Medical Security Bureau)
  - National opinion leaders
  - Researchers from over 20 universities
  - Over 15 pharmaceutical companies



Reporting by the ISPOR



## Synergized education and training programs

- **Master and PhD degree programs**
  - Sichuan university, Peking University, Fudan University, Sun Yat-Sen University.....
- **Specializations focusing on the use of real world data**
  - Drugs and devices monitoring and assessment
  - Pharmacoeconomics and drug policy
  - Rational drug use and clinical translation
  - Disease management
- **University courses**
- **National training workshops**
  - 2-day focused training
- **Delivered in short courses**
  - Retrospective database studies
  - Registry studies
  - Pragmatic clinical trials
  - Issues about bias and confounding
  - Issues about data privacy and ethic review



First national workshop on RWD methodology  
2016 Chengdu, China

## Consortium of research scientists across the country

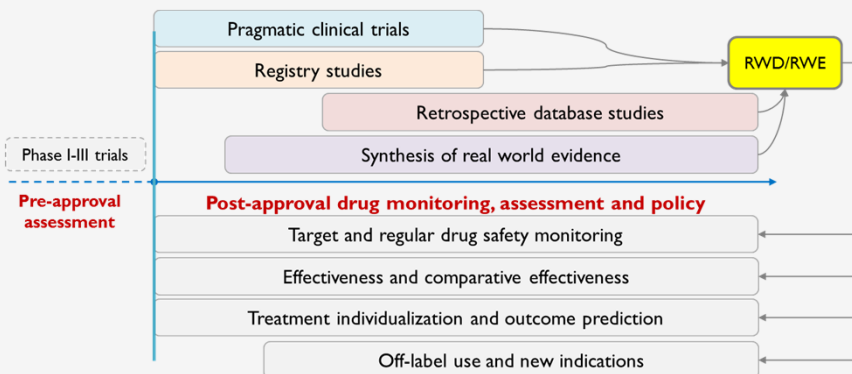
- **A group of over 40 research scientists, expertise with real world data**
  - Pharmacoepidemiology and clinical epidemiology
  - Medical statistics or biostatistics
  - Health economics or pharmacoeconomics
  - Health informatics and computing
- **Special interest groups (SIG): specialized applications of real world data**
  - Clinical outcomes assessment and guideline translation for medical products
  - Pharmacoeconomic assessment and policy on medical products
  - Disease management
  - Statistical methods
- **Internal annual meetings to discuss cutting-edge methods and issues**

## Recent developments: The use of real world studies for regulatory decisions – experience from the State Drug Administration

- Mainly used for post-approval drugs and devices surveillance and evaluation
- Center for Drug Re-Evaluation and National Center for ADR Monitoring
  - RWD already commonly used
  - Active surveillance - drug ADR signal detection and confirmation
  - New drug target surveillance
  - Analysis of drug utilization
- Center for Drug Evaluation (CDE)
  - At the pilot phase
  - Currently used for generic/non-patent drug re-evaluation



## Developed the research framework for post-approval drugs monitoring and evaluation

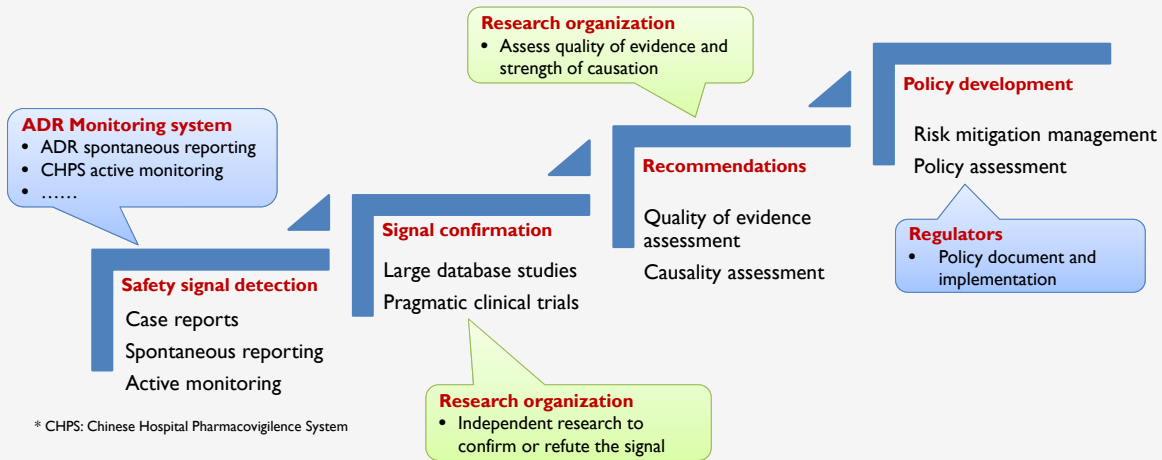


Chinese Journal of Evidence-based Medicine. 2018; 18:277-283



## Streamline post-approval drug safety monitoring, evaluation, recommendation and action

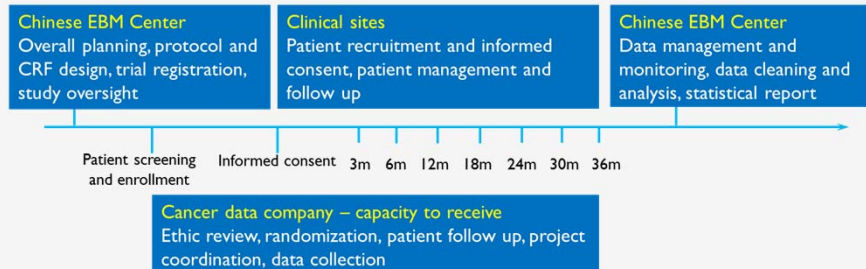
The framework for using RWD to support ADR identification, confirmation, and policy translation



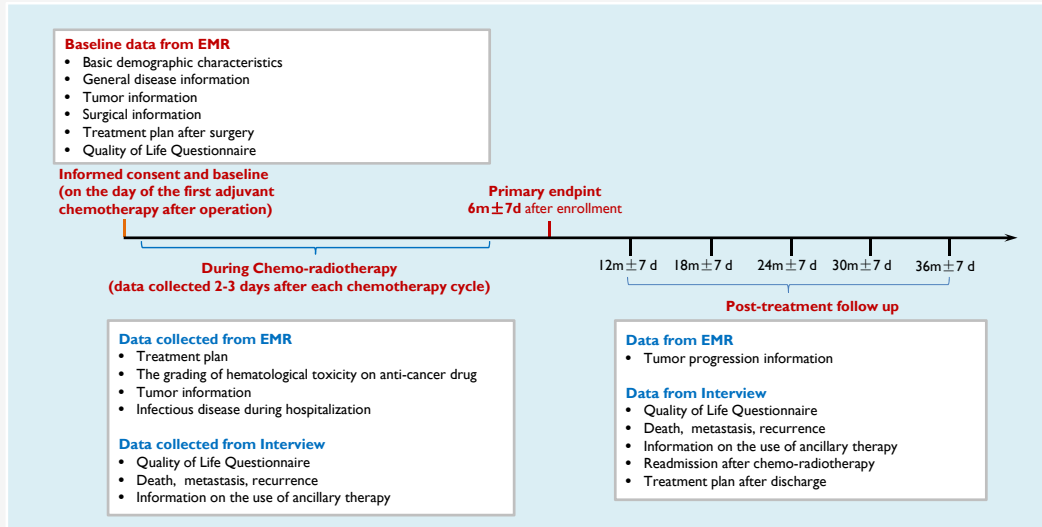
## Practical example I: pragmatic clinical trial to test an ancillary therapy for patients with non-small cell lung cancer (NSCLC)

### Study overview

- Primary aim: test whether ancillary therapy could reduce toxic effects of regular chemotherapy in the real-world setting
- Multi-center, open label, randomized controlled trial
- Patients with operable NSCLC (stage II and IIIa) who receive chemotherapy
- Use versus not use of ancillary therapy
- Anti-cancer guideline-recommended treatments available for both groups
- Treatment variations allowed among patients to reflect real-world setting
- Primary outcome: risk of severe toxic events measured by WHO guideline
- A randomization ratio of 1:1
- A planned 3-year follow up



## An integrated approach to collecting data



## Practical example 2 : Use of EMR database to assess risk of bleeding and coagulation disorders of an antibiotic

### Background

- Signal first identified by the National Center for ADR Monitoring through spontaneous reports
- Sporadic adverse events reported in medical literature, with no convincing evidence
- Laboratory and animal studies suggested antibiotics with NMTC chain carries risk of coagulation disorder, platelet aggregation, and bleeding
- A study to ascertain the association between the antibiotic and bleeding risk commissioned by the National Center for ADR Monitoring

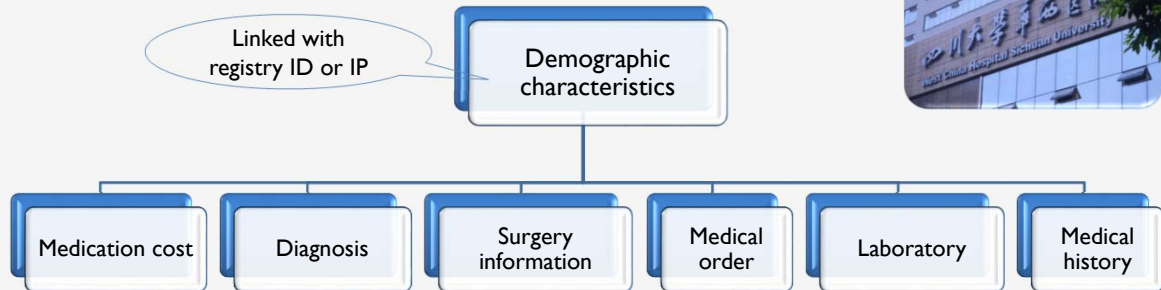
### Research objectives

- Understand the proportion of bleeding or coagulation disorder among hospitalized patients who used antibiotic A
- Investigate whether the use of antibiotic A would be associated with increased risk of bleeding and coagulation disorder
- Explore the factors associated with bleeding and coagulation disorders

West China Hospital has one of the best EMR databases in China

One of the leading academic medical center in China – ranked first in medical research

- EMR established in 2008 and matured in 2010
- Annual number of hospitalizations: 200,000
- Validated ICD-10 coding
- Linked data



Storage of data:

- Excel dataset
- TXT file with comma separated

## Acknowledgements

- International Society for Pharmacoeconomics and Outcomes Research (ISPOR)
- The British Medical Journal (BMJ)
- State Drug Administration Center for Drug Evaluation and Center for Drug Re-evaluation; National Center for ADR Monitoring
- ChinaREAL advisory and working group members: Kun Zhao, Youping Li, Chen Yao, Hong Li, Jeff Guo, Jiahong Wu, Shanlian Hu, Jing Wu, Pei Gao, Xiaoxia Peng, Jing Tan, Wen Wang, Ling Li

CREAT – research for better healthcare

